# ALTERNATIVE STRUCTURAL MODELS FOR THE 

NORTHEAST CHATHAM RISE ORANGE ROUGHY
FISHERY

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#### Abstract

\section*{INTRODUCTION}

A particular difficulty with assessments of the Orange Roughy resource on the Northeast Chatham Rise is the seeming inability of standard population models, with their standard assumptions of a linear relationship between CPUE and survey indices to abundance, to simultaneously reflect the trends of all these indices. Especially concerning is that while the outputs from these models suggest a recovery in abundance for the Spawning Box after about 1992, the CPUE and hydroacoustic survey results for that period indicate the reverse.


A number of mechanisms have been suggested that might be able to resolve these inconsistencies: resident-and-transient fish (related perhaps to spawning migrations), seasonal patterns, hyperdepletion, disturbance, intermittent aggregation, effort saturation and fishing behaviour (Anon. 2005). The purpose of this paper (following a proposal in Anon. 2005) is to investigate this using a simple age-aggregated population model as a basis.

For the purpose of these analyses, the fishery is considered to be comprised of three grounds: the Spawning Box (which includes the Eastern Flats), the Andes and the Eastern Hills.

The analyses that follow explore two of the mechanisms suggested: first (briefly) seasonal patterns, and then resident-and-transient fish.

## DATA UTILISED

The primary data used for these analyses are restricted to annual catch and abundance indices for the three grounds considered. In some cases, these data are available split for within and outside the May-August spawning season. Table 1 gives catches, Table 2 acoustic and trawl survey indices of abundance, and Table 3 CPUE indices.

These data were provided primarily by Matt Dunn, with Pamela Mace and Ian Hampton adding helpful advice.

## METHODOLOGY

## Basic Model

Given that only catch and abundance indices are to be considered, the population model used (to achieve the desired simplicity) is an age-aggregated biomass model, which is developed and
motivated in Appendix 1. The model requires input of a parameter value related to somatic growth, for which the associated von Bertalanffy and weight-length parameters are given in Table 4.

The instance where this basic model if fitted separately to data for each of the three grounds is termed the "Reference Case".

## Seasonal Model

Attempts were made to model the Spawning Box (plus Eastern Flats) by a two-component population model where both residents and transients were present (and catchable) during the spawning season, but only residents outside this season. These attempts achieved little success or additional insight, and further there was a paucity of abundance indices available pertaining to outside the spawning season.

These attempts were therefore not pursued further, and are not reported in detail here.

## Resident-and-Transient Model

This model considers that the catches and abundance indices for each ground reflect some combination of a resident population specific to that ground and a (migrating) transient population which mixes fully and is available for capture on all grounds (though to differing extents). Appendix 2 provides the mathematical details of this model.

## RESULTS

## Basic Model

Fig. 1 shows fits of the Reference Case model (applications of the Appendix 1 model to each ground separately) to the abundance index data. Note that this Reference Case model is a special case of the Resident-and-Transient model with $K^{t}=0$ and all $\lambda^{i, j}$ 's also zero. The fits to the more recent CPUE and the acoustic survey estimates for the Spawning Box illustrate the fundamental inconsistency: a predicted population model trend in the reverse direction (increasing) to that suggested by both of these indices (decreasing).

Fig. 2 compares some of these Reference Case fits with those for an alternative choice for $M-g$ of 0.015 rather than 0.035 , i.e. assuming a longer-lived and less productive resource. This improves the fit to the more recent CPUE and acoustic survey estimates for the Spawning Box, but the recent population trend, although not increasing at as high a rate as for the Reference Case, remains slightly positive rather than downward as the abundance indices suggest.

## Resident-and-Transient Model

Table 5 provides parameter estimates and likelihood values for the various fits of this model considered.

Fig. 3 shows fits to the abundance indices for the Reference Case choice of $M-g=0.035$. Four fits are shown corresponding to four values for the transient carrying capacity $K^{t}$ : zero (the Reference Case), 100 000, 300000 and estimated (in the model fit at some 349000 tons). The likelihood surface is multi-model, with a global maximum that is very unstable: small shifts of $K^{t}$ away from its best-estimate value see qualitatively different solutions with $\lambda$ for the recent Spawning Box CPUE series switching from a very small to a rather large value. The $K^{t}$ estimated results (only) are able to reproduce the recent downward trends in this CPUE series and the acoustic survey index, essentially through estimating $K^{r}$ for the residents at the Spawning Box to be much lower than for the other cases considered. The fit to the Andes CPUE
is somewhat improved for the Resident-andTransient model compared to the Reference Case. In contrast, there is little difference for the fit for the Eastern Hills, which is "controlled" by a severe lack of fit to the acoustic survey estimates.

Corresponding plots for the choice of $M-g=0.015$ are shown in Fig. 4. In this case both the input choice $K^{t}=300000$ tons and the estimated value of $K^{t}$ of some 436000 tons are able to reflect the trends for recent indices for the Spawning Box.

Fig. 5 compares biomass estimates for the resident and transient populations for $M-g=0.035$ for the case were $K^{t}$ is estimated.

In Fig. 6, absolute acoustic estimates of biomass are compared to the various model fits for $M-g=0.035$. None of the models shown fit these absolute estimates well, but the fact that these estimates (themselves uncertain) are contained within the range of model outputs suggests that there is scope within this model framework for closer correspondence.

## DISCUSSION

## CONCLUSIONS

## ACKNOWLEDGEMENTS

Interactions with Matt Dunn, Pamela Mace and lan Hampton are gratefully acknowledged.

## REFERENCE

Anon. 2005. Review of methods and data used in orange roughy stock assessments. Report of the first workshop 10-12 October 2005.

## Appendix 1

## The Basic Age-Aggregated Population Model

The fundamental model used has the form:

$$
\begin{equation*}
B_{t+1}=B_{t} e^{g-M}-C_{t}+R_{t} \tag{A1.1}
\end{equation*}
$$

where $\quad B_{t} \quad$ is the (assumed recruited = mature) biomass at the start of year $t$, $g \quad$ is the parameter that accounts for somatic growth (see equation A1.7 below), $M$ is the natural mortality rate,
$C_{t} \quad$ is the catch during year $t$, and
$R_{t} \quad$ is recruitment to the recruited biomass at the end of year $t$.

Note that this equation is very simple, inter alia to ease computations and to more readily clarify the key drivers of the dynamics. Since the ages at recruitment and maturity of orange roughy on the Chatham Rise are about 30 years, which is longer than the fishery has been operative, the recruitments that have entered the fishery to date have all arisen from spawning biomasses that had yet to be impacted by the fishery. Since the intention here is to be as parsimonious as possible (and therefore to attempt to avoid introducing the possibility of non-equilibrium conditions prior to exploitation), the assumption is made that:

$$
\begin{equation*}
R_{t}=R \tag{A1.2}
\end{equation*}
$$

and hence from the assumption of unexploited equilibrium at the commencement of the fishery $\left(B_{1}=K\right)$ :

$$
\begin{equation*}
B_{t+1}=B_{t} e^{g-M}-C_{t}+K\left[1-e^{g-M}\right] \tag{A1.3}
\end{equation*}
$$

The parameter $g$ is a surrogate for the effect of somatic growth given an underlying age-structure. If at pre-exploitation equilibrium $N_{a}$ is the number of fish of age $a$ with weight $w_{a}$, then of the $30+$ biomass at the start of the year:

$$
\begin{equation*}
B^{30+}=\sum_{a=30}^{\infty} w_{a} N_{a} \tag{A1.4}
\end{equation*}
$$

the mass remaining at the end of the year will be:

$$
\begin{equation*}
B^{31+}=\sum_{a=30}^{\infty} w_{a+1} N_{a+1}=\sum_{a=30}^{\infty} w_{a+1} N_{a} e^{-M} \tag{A1.5}
\end{equation*}
$$

The fact that the equation for $B^{31+}$ involves $w_{a+1}$ rather than $w_{a}$ (the effect of somatic growth) means that:

$$
\begin{equation*}
B^{31+}>e^{-M} B^{30+} \tag{A1.6}
\end{equation*}
$$

for which adjustment is made by introducing the parameter $g$ defined by:

$$
\begin{equation*}
B^{31+}=e^{g} e^{M} B^{30+} \tag{A1.7}
\end{equation*}
$$

Calculations based on the growth parameters of Table 4 coupled to the conventional assumption of $M=0.045$ yield $g \approx 0.01$. For lower values of $M, g$ does decline, but the dynamics is governed only by the combination $M-g$. Analyses in the main text accordingly use $M-g=0.035$ for the Reference Case, and consider sensitivities to this composite parameter. (Clearly $g$ depends also on fishing mortality to some extent, but this has been ignored here in the interests of parsimony.)

## Fitting to Data

This paper treats all indices as relative for the purposes of estimating model parameters, and in the interests of initial simplicity accords each index value a $C V \approx 0.3$. If $I_{t}$ is the value of index $I$ in year $t$, then assuming log-normality:

$$
\begin{equation*}
I_{t}^{i}=q^{i} B_{t} e^{\varepsilon_{t}^{i}} \quad \text { where } \varepsilon_{t}^{i} \text { from } \mathrm{N}\left(0,0.3^{2}\right) \tag{A1.8}
\end{equation*}
$$

where $q^{i}$ is the constant of proportionality (catchability for CPUE indices or multiplicative bias for acoustic estimates of biomass).

Parameters are then estimated by maximising a likelihood $L$ where:

$$
\begin{equation*}
-\ell \operatorname{n} L=\sum_{i} \sum_{t}\left\lfloor\ell \ln 0.3+\left(\ell \ln \mathrm{I}_{\mathrm{t}}^{\mathrm{i}}-\ell q^{i}-B_{t}\right)^{2} /\left(2 \times 0.3^{2}\right)\right] \tag{A1.9}
\end{equation*}
$$

A closed form solution exists for the $q^{i}$,s:

$$
\begin{equation*}
\sum_{t} \ell \ln \hat{q}^{i}=\sum_{t}\left[\ell \ln I_{t}^{i}-\ell \ln B_{t}\right] \tag{A.10}
\end{equation*}
$$

leaving "carrying capacity" $K$ as the only parameter for which a non-linear minimisation search is required.

Note that care must be taken in using this model for projections into the future, as the rationale for the assumption $R_{t}=R$ for the past will lose validity after more than a few years into the future.

## Apendix 2

## The Resident-and-Transient Model

This approach assumes a transient population $\left(B^{t}\right)$ present throughout the overall area, some fraction of which is available for capture at each of the fishing grounds $(j)$, together with resident populations $B^{r, j}$ at each of these grounds, each of which is confined to that ground. The equations for the dynamics are:

$$
\begin{align*}
& B_{t+1}^{t}=B_{t}^{t} e^{g-M}-C_{t}^{t}+K^{t}\left[1-e^{g-M}\right]  \tag{A2.1}\\
& B_{t+1}^{r, j}=B_{t}^{r, j} e^{g-M}-C_{t}^{r, j}+K^{r, j}\left[1-e^{g-M}\right] \tag{A2.2}
\end{align*}
$$

where $\quad j=1$ refers to the Spawning Box (plus Eastern Flats) ground;
$\mathrm{j}=2$ refers to the Andes ground; and
$j=3$ refers to the Eastern Hills ground
The indices are related to these abundances by:

$$
\begin{equation*}
I_{t}^{i, j}=q_{t}^{i, j}\left(B_{t}^{r, j}+\lambda^{i, j} B_{t}^{t}\right) e^{\varepsilon_{i}^{i, j}} \quad \varepsilon_{t}^{i, j} \text { from } N\left(0,0.3^{2}\right) \tag{A2.3}
\end{equation*}
$$

where $\lambda^{i, j}$ is the fraction of the transient biomass that is "sampled" by index $I$ on ground $j$ relative to the fraction of the resident biomass that is similarly sampled. Again in the interests of simplicity, the $\lambda^{i, j}$ are constrained:

$$
\begin{equation*}
0 \leq \lambda^{i, j}<1 \quad \text { for all } i, j \tag{A2.4}
\end{equation*}
$$

[One could argue for further or fancier constraints, but such would be beyond the scope of this paper.]

It remains to link the (observed) catches made on each ground $C_{t}^{j}$ to the removals from the different populations $\left(C_{t}^{r, j}, C_{t}^{t, j}\right.$ where $\left.\sum_{j=1}^{3} C_{t}^{t, j}=C_{t}^{t}\right)$. This is done by assuming that the catch split between residents and transients on a particular ground is in the same ratio as their contributions to CPUE, so that if $i=1$ is the CPUE index for ground $j$ :

$$
\begin{equation*}
C_{t}^{t, j} / C_{t}^{r, j}=\lambda^{1, j} B_{t}^{t} / B_{t}^{r, j} \tag{A2.5}
\end{equation*}
$$

For the Spawning Box, for which three CPUE series are available (Table 3), the $\lambda$ for Box (In) series is applied until 1993, and the $\lambda$ for the Box (In and Out) from 1994.

Thus for Andes, for example, projecting the dynamics forward gives $B_{t}^{t}$ and $B_{t}^{r, 2}$ at the start of year $t$, and hence:

$$
\begin{equation*}
\mu_{t}=\lambda^{1,2} B_{t}^{t} / B_{t}^{r, 2} \tag{A2.6}
\end{equation*}
$$

Thus $C_{t}^{t, 2} / C_{t}^{r, 2}=\mu_{t}$ and $C_{t}^{2}=C_{t}^{t, 3}+C_{t}^{r, 2}$ are known, so that:

$$
\begin{align*}
& C_{t}^{r, 2}=C_{t}^{2} /\left(1+\mu_{t}\right) \text { and } \\
& C_{t}^{t, 2}=C_{t}^{2} \mu_{t} /\left(1+\mu_{t}\right) \tag{A2.7}
\end{align*}
$$

## Fitting to Data

Equation A1.9 generalises readily by summing over grounds:

$$
\begin{equation*}
-\ell \operatorname{n} L=\sum_{j} \sum_{i} \sum_{t}\left[\ln 0.3+\left\{\ell \operatorname{n} I_{t}^{i, j}-\ln q^{i, j}-\ell \ln \left(B_{t}^{r, j}+\lambda^{i, j} B_{t}^{t}\right)\right\}^{2} /\left(2 \times 0.3^{2}\right)\right] \tag{A2.8}
\end{equation*}
$$

Again there are closed form solutions for the $\hat{q}^{i, j}$. The estimable parameters of the model are the four carrying capacities $\left(K^{t}, K^{r, 1}, K^{r, 2}, K^{r, 3}\right)$ and eight $\lambda$ parameters corresponding to the various relative abundance indices. As different indices may index different components of resident and transient populations, no relationships between the $q^{i, j}$,s or $\lambda^{i, j}$,s are imposed.

Table 1. Yearly (fishing year) catches of orange roughy (in tons, and rounded to the nearest to $n$ ) taken from the grounds considered in this paper. The notation of, for example, "1980" for year refers to the period October to December of 1979 together with the period January to September of 1980. The terms "In" and "Out" below refer to whether the catches were taken within or outside of the spawning season (which is taken to be May-August). Note that both here and in the Tables and Figures following "Spawning Box" is shorthand for the Spawning Box together with the Eastern Flats.

| Year | Ground |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Spawning Box |  |  | Andes |  |  | Eastern Hills |  |  |
|  | All year | In | Out | All year | In | Out | All year | In | Out |
| 1980 | 38817 | 36522 | 2295 | - | - | - | - | - | - |
| 1981 | 20966 | 20888 | 78 | - | - | - | - | - | - |
| 1982 | 23498 | 23498 | 0 | - | - | - | - | - | - |
| 1983 | 7451 | 7390 | 60 | - | - | - | - | - | - |
| 1984 | 22559 | 22497 | 62 | - | - | - | - | - | - |
| 1985 | 26326 | 26242 | 83 | - | - | - | - | - | - |
| 1986 | 30083 | 29468 | 615 | - | - | - | - | - | - |
| 1987 | 30493 | 30446 | 47 | - | - | - | - | - | - |
| 1988 | 21314 | 21283 | 31 | - | - | - | 2 | 2 | 0 |
| 1989 | 26205 | 25774 | 431 | 33 | 8 | 25 | 0 | 0 | 0 |
| 1990 | 20524 | 20324 | 200 | 143 | 143 | 0 | 196 | 196 | 0 |
| 1991 | 8771 | 7329 | 1442 | 125 | 0 | 125 | 7473 | 3484 | 3989 |
| 1992 | 2165 | 1420 | 745 | 8715 | 1611 | 7104 | 3598 | 2164 | 1434 |
| 1993 | 610 | 404 | 206 | 3358 | 793 | 2565 | 1382 | 283 | 1099 |
| 1994 | 450 | 0 | 450 | 3543 | 83 | 3460 | 1378 | 423 | 955 |
| 1995 | 1125 | 590 | 535 | 1407 | 141 | 1266 | 1794 | 530 | 1264 |
| 1996 | 1999 | 1633 | 366 | 1192 | 42 | 1150 | 1023 | 227 | 796 |
| 1997 | 2119 | 1803 | 316 | 667 | 165 | 502 | 1494 | 755 | 739 |
| 1998 | 2672 | 2161 | 511 | 1425 | 4 | 1421 | 933 | 202 | 731 |
| 1999 | 1526 | 1047 | 480 | 1132 | 43 | 1089 | 1443 | 393 | 1050 |
| 2000 | 1678 | 1077 | 601 | 1999 | 96 | 1903 | 1399 | 541 | 858 |
| 2001 | 1406 | 1026 | 380 | 1244 | 54 | 1190 | 1244 | 871 | 373 |
| 2002 | 3641 | 2853 | 788 | 2415 | 116 | 2299 | 1110 | 43 | 1067 |
| 2003 | 3614 | 3253 | 361 | 3038 | 212 | 2826 | 1028 | 332 | 696 |
| 2004 | 4472 | 2639 | 1833 | 1713 | 133 | 1580 | 767 | 112 | 655 |

Table 2. Abundance indices of orange roughy obtained from hydroacoustic surveys and research trawl surveys for the grounds considered in this paper.
a) Target acoustic indices of abundance in tons, though generally treated as a relative index.

| Year | Ground |  |
| :---: | :---: | :---: |
|  | Spawning Box | Eastern Hills |
|  | Relative | Relative |
| 1980 | - | - |
| 1981 | - | - |
| 1982 | - | - |
| 1983 | - | - |
| 1984 | - | - |
| 1985 | - | - |
| 1986 | - | - |
| 1987 | - | - |
| 1988 | - | - |
| 1989 | - | - |
| 1990 | - | - |
| 1991 | - | - |
| 1992 | - | - |
| 1993 | - | - |
| 1994 | - | - |
| 1995 | - | - |
| 1996 | - | - |
| 1997 | - | - |
| 1998 | - | - |
| 1999 | - | - |
| 2000 | - | 40900 |
| 2001 | - | - |
| 2002 | 42286 | - |
| 2003 | 35821 | 9766 |
| 2004 | 30464 | 655 |
| 2005 | 27221 | - |

Table 2 cont. Abundance indices of orange roughy obtained from hydroacoustic surveys and research trawl surveys for the grounds considered in this paper.
b) Research trawl indices of abundance.

| Year | Spawning Box |
| :---: | :---: |
| 1980 | - |
| 1981 | - |
| 1982 | - |
| 1983 | - |
| 1984 | 130000 |
| 1985 | 111000 |
| 1986 | 77000 |
| 1987 | 60000 |
| 1988 | 73000 |
| 1989 | 54000 |
| 1990 | 34000 |
| 1991 | - |
| 1992 | 22000 |
| 1993 | - |
| 1994 | 61000 |
| 1995 | - |
| 1996 | - |
| 1997 | - |
| 1998 | - |
| 1999 | - |
| 2000 | - |
| 2001 | - |
| 2002 | - |
| 2003 | - |
| 2004 | - |
|  |  |

Table 3. Abundance indices for orange roughy obtained from standardised commercial CPUE series for the grounds considered in this paper. "In" and "Out" have the same meanings as in Table 1, and "In and Out" refers to the whole year.

| Year | Ground |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Spawning Box |  |  | Andes | Eastern Hills |  |  |
|  | Box (In) | Box (In <br> and Out) | Eastern <br> Flats (In) | Out | All year | In | Out |
| $\mathbf{1 9 8 0}$ | 1.185 | - | - | - | - | - | - |
| $\mathbf{1 9 8 1}$ | 1.274 | - | - | - | - | - | - |
| $\mathbf{1 9 8 2}$ | 0.996 | - | 1.003 | - | - | - | - |
| $\mathbf{1 9 8 3}$ | 1.048 | - | 1.775 | - | - | - | - |
| $\mathbf{1 9 8 4}$ | 1.289 | - | 1.444 | - | - | - | - |
| $\mathbf{1 9 8 5}$ | 1.471 | - | 1.506 | - | - | - | - |
| $\mathbf{1 9 8 6}$ | 1.092 | - | 1.140 | - | - | - | - |
| $\mathbf{1 9 8 7}$ | 1.047 | - | 0.809 | - | - | - | - |
| $\mathbf{1 9 8 8}$ | 1.171 | - | 0.713 | - | - | - | - |
| $\mathbf{1 9 8 9}$ | - | - | - | - | - | - | - |
| $\mathbf{1 9 9 0}$ | 0.563 | - | 0.393 | 2.213 | - | - | - |
| $\mathbf{1 9 9 1}$ | 0.769 | - | - | 2.977 | 3.700 | 0.343 | 0.906 |
| $\mathbf{1 9 9 2}$ | 0.576 | - | - | 4.133 | 1.771 | 3.491 | 3.560 |
| $\mathbf{1 9 9 3}$ | - | - | - | 3.429 | 2.696 | 2.255 | 1.568 |
| $\mathbf{1 9 9 4}$ | - | - | - | 2.335 | 2.153 | 5.188 | 2.235 |
| $\mathbf{1 9 9 5}$ | - | - | - | 1.076 | 1.125 | 1.434 | 2.332 |
| $\mathbf{1 9 9 6}$ | - | 1.299 | - | 0.579 | 1.020 | 1.451 | 0.952 |
| $\mathbf{1 9 9 7}$ | - | 1.012 | - | 0.702 | 1.392 | 1.294 | 0.832 |
| $\mathbf{1 9 9 8}$ | - | 1.146 | - | 0.538 | 0.443 | 1.192 | 0.711 |
| $\mathbf{1 9 9 9}$ | - | 1.093 | - | 0.665 | 0.616 | 0.458 | 0.312 |
| $\mathbf{2 0 0 0}$ | - | 1.467 | - | 0.697 | 0.736 | 0.809 | 0.486 |
| $\mathbf{2 0 0 1}$ | - | 0.838 | - | 0.549 | 0.814 | 1.404 | 0.452 |
| $\mathbf{2 0 0 2}$ | - | 0.778 | - | 0.572 | 0.492 | 1.041 | 0.423 |
| $\mathbf{2 0 0 3}$ | - | 0.909 | - | 0.428 | 0.446 | 0.551 | 0.437 |
| $\mathbf{2 0 0 4}$ | - | 0.697 | - | 0.312 | 0.459 | 1.286 | 0.283 |

Table 4. Biological parameter values assumed for the assessments conducted. The values given for the somatic growth related parameter $g$ (see Appendix 1 for explanation) and natural mortality $M$ are those used to provide $M-g=0.035$ as assumed for the Reference Case.

| Parameter | Value |
| :---: | :---: |
| von Bertalanffy growth |  |
| $\ell_{\infty}(\mathrm{cm})$ | 37.55 |
| $\kappa(\mathrm{yr})$ | 0.062 |
| $t_{0}(\mathrm{yr})$ | -1.59 |
| Weight length relationship |  |
| $a$ | $9.21 \times 10^{-8}$ |
| $b$ | 2.71 |
| Somatic growth $g\left(\mathrm{yr}^{-1}\right)$ | 0.01 |
| Natural mortality $M\left(\mathrm{yr}^{-1}\right)$ | 0.045 |

Table 5. Results for Resident-and-Transient model fits to the abundance index data. The quantity $\sigma$ reflects the standard deviation of the residuals of the fit to the model index in question. Biomass units are tons.
a) Case $M-g=0.035$.

| Quantity |  | $K^{t}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 0 | 100000 | 300000 | 349018 (est) |
| Spawning Box | $K^{r}$ | 329559 | 259745 | 111945 | 34788 |
|  | $B_{2005}^{r} / K^{r}$ | 0.491 | 0.475 | 0.452 | 0.064 |
| Andes | $K^{r}$ | 26490 | 16259 | 16769 | 17232 |
|  | $B_{2005}^{r} / K^{r}$ | 0.056 | 0.032 | 0.033 | 0.033 |
| Eastern Hills | $K^{r}$ | 20425 | 19821 | 19824 | 19861 |
|  | $B_{2005}^{r} / K^{r}$ | 0.046 | 0.045 | 0.045 | 0.045 |
|  | $B_{2005}^{t} / K^{t}$ | - | 0.590 | 0.614 | 0.588 |
| $\lambda(\sigma)$ |  |  |  |  |  |
| Spawning <br> Box | CPUE (In) | -(0.241) | 0.318 (0.248) | 0.354 (0.220) | 0.473 (0.207) |
|  | $\begin{aligned} & \text { CPUE (In \& } \\ & \text { Out) } \end{aligned}$ | -(0.288) | 1.000 (0.269) | 1.000 (0.260) | 0.015 (0.174) |
|  | $\begin{gathered} \text { CPUE (E. } \\ \text { Flats) } \end{gathered}$ | -(0.252) | $7.9 \times 10^{-5}(0.232)$ | $3.3 \times 10^{-5}(0.218)$ | $2.4 \times 10^{-5}(0.221)$ |
|  | Acoustic | -(0.181) | 0.999 (0.169) | 0.998 (0.169) | 0.024 (0.024) |
|  | Trawl | -(0.309) | $4.6 \times 10^{-5}(0.289)$ | $9.5 \times 10^{-6}(0.282)$ | $4.6 \times 10^{-6}(0.287)$ |
| Andes | CPUE | -(0.364) | 0.0212 (0.280) | 0.007 (0.278) | 0.0067 (0.277) |
| Eastern Hills | CPUE | -(0.397) | 0.0019 (0.391) | $6.7 \times 10^{-4}(0.391)$ | $6.0 \times 10^{-4}(0.391)$ |
|  | Acoustic | -(1.286) | $1.5 \times 10^{-7}$ (1.291) | $4.7 \times 10^{-8}(1.291)$ | $4.2 \times 10^{-8}(1.291)$ |
| -In L | Spawning Box | -34.23 | -35.65 | -37.24 | -39.89 |
|  | Andes | -7.041 | -11.53 | -11.61 | -11.68 |
|  | Eastern Hills | 19.37 | 19.24 | 19.22 | 19.21 |
|  | Total | -21.90 | -27.94 | -29.63 | -32.36 |

Table 5 cont. Results for Resident-and-Transient model fits to the abundance index data. The quantity $\sigma$ reflects the standard deviation of the residuals of the fit to the model index in question. Biomass units are tons.
b) Case $M-g=0.015$.

| Quantity |  | $K^{t}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 0 | 100000 | 300000 | 435609 (est) |
| Spawning Box | $K^{r}$ | 360422 | 277895 | 103008 | 45683 |
|  | $B_{2005}^{r} / K^{r}$ | 0.349 | 0.298 | 0.092 | 0.039 |
| Andes | $K^{r}$ | 30400 | 16052 | 16977 | 16762 |
|  | $B_{2005}^{r} / K^{r}$ | 0.067 | 0.015 | 0.016 | 0.016 |
| Eastern Hills | $K^{r}$ | 23796 | 20249 | 20522 | 20470 |
|  | $B_{2005}^{r} / K^{r}$ | 0.046 | 0.022 | 0.022 | 0.022 |
|  | $B_{2005}^{t} / K^{t}$ | - | 0.441 | 0.479 | 0.527 |
| $\lambda(\sigma)$ |  |  |  |  |  |
| Spawning Box | CPUE (In) | -(0.243) | 0.475 (0.244) | 0.480 (0.226) | 0.366 (0.194) |
|  | CPUE (In \& Out) | -(0.251) | $3.9 \times 10^{-4}(0.247)$ | $1.7 \times 10^{-5}(0.168)$ | 0.020 (0.168) |
|  | $\begin{aligned} & \text { CPUE (E. } \\ & \text { Flats) } \end{aligned}$ | -(0.250) | $1.2 \times 10^{-4}(0.233)$ | $4.5 \times 10^{-5}(0.210)$ | 0.0016 (0.218) |
|  | Acoustic | -(0.163) | 0.998 (0.143) | 0.035 (0.037) | 0.018 (0.020) |
|  | Trawl | -(0.293) | $7.8 \times 10^{-5}(0.276)$ | $1.8 \times 10^{-5}(0.253)$ | $4.0 \times 10^{-6}(0.257)$ |
| Andes | CPUE | -(0.383) | 0.0377 (0.280) | 0.013 (0.281) | 0.0080 (0.281) |
| Eastern Hills | CPUE | -(0.424) | 0.0139 (0.406) | 0.0045 (0.406) | 0.0028 (0.406) |
|  | Acoustic | -(1.192) | $1.1 \times 10^{-7}(1.120)$ | $3.2 \times 10^{-8}(1.116)$ | $2.0 \times 10^{-8}(1.117)$ |
| $-\ln$ L | Spawning Box | -35.83 | -36.88 | -40.56 | -41.23 |
|  | Andes | -5.814 | -11.54 | -11.48 | -11.48 |
|  | Eastern Hills | 17.16 | 13.27 | 13.11 | 13.12 |
|  | Total | -24.48 | -35.15 | -38.93 | -39.59 |



Spawning Box CPUE (In and Out)


- Observed __ Reference Case


## Eastern Flats CPUE (In)



Figure 1. Comparison of model fits to the data indices of abundance for the Reference Case given the associated value for $M-g$ of 0.035 .

## Spawning Box acoustic (relative)



- Observed __Reference Case

Spawning Box trawl survey


- Observed ——Reference Case

Andes CPUE


- Observed _—Reference Case

Figure 1 cont. Comparison of model fits to the data indices of abundance for the Reference Case given the associated value for $M-g$ of 0.035 .

## Eastern Hills CPUE



Eastern Hills acoustic (relative)


- Observed ——Reference Case

Figure 1 cont. Comparison of model fits to the data indices of abundance for the Reference Case given the associated value for $M-g$ of 0.035 .

## Spawning Box CPUE (In and Out)



Figure 2. Comparison of model fits to the data indices of abundance for the Reference Case with its associated value of $M-g=0.035$ and for a lower value of 0.015

Spawning Box CPUE (In)


Spawning Box CPUE (In and Out)



Eastern Flats CPUE (In)


Figure 3. Comparison of Resident-and-Transient model fits to the data indices of abundance for the various models fitted given the Reference Case value for $M-g$ of 0.035 . The different options shown reflect alternative choices for the transient carrying capacity ( $K^{\prime}$ ) in tons - note that the estimated value is 349000 tons, and the Reference Case corresponds to $K^{h}=0$.


Figure 3 cont. Comparison of Resident-and-Transient model fits to the data indices of abundance for the various models fitted given the Reference Case value for $M-g$ of 0.035 . The different options shown reflect alternative choices for the transient carrying capacity ( $K^{\dagger}$ ) in tons - note that the estimated value is 349000 tons, and the Reference Case corresponds to $K^{t}=0$.

## Eastern Hills CPUE



- Observed ———Reference Case - $\rightarrow$ - Estimated —』- 100000 —॰- - 300000
Eastern Hills acoustic (relative)

* Observed ——RReference Case - - - Estimated —^- 100000 --- - 300000
* Observed ——RReference Case - - - Estimated —^- 100000 --- - 300000

Figure 3 cont. Comparison of Resident-and-Transient model fits to the data indices of abundance for the various models fitted given the Reference Case value for $M-g$ of 0.035 . The different options shown reflect alternative choices for the transient carrying capacity ( $K^{\mathrm{K}}$ ) in tons - note that the estimated value is 349000 tons, and the Reference Case corresponds to $K^{t}=0$.


Spawning Box CPUE (In and Out)


Year

* Observed ———Reference Case $=\Rightarrow=$ Estimated ——— 100000 —•- 300000

Eastern Flats CPUE (In)


- Observed ———Reference Case $-\rightarrow$ - . Estimated —』- 100000 —॰- 300000

Figure 4. Comparison of Resident-and-Transient model fits to the data indices of abundance for the various models fitted given the Reference Case value for $M-g$ of 0.015 . The different options shown reflect alternative choices for the transient carrying capacity ( $K^{\prime}$ ) in tons - note that the estimated value is 435600 tons, and the Reference Case corresponds to $K^{t}=0$.

Spawning Box acoustic (relative)


- Observed —_—Reference Case - $\rightarrow$ - . Estimated ——— 100000 —.-- 300000
Spawning Box trawl survey


$$
\bullet \text { Observed ———Reference Case }=\Rightarrow=\text {. Estimated —』— } 100000 — \bullet-300000
$$

Andes CPUE


$$
\text { - Observed ———Reference Case }-\rightarrow \text { - Estimated —^— } 100000 \text { —•- - } 300000
$$

Figure 4 cont. Comparison of Resident-and-Transient model fits to the data indices of abundance for the various models fitted given the Reference Case value for $M-g$ of 0.015 . The different options shown reflect alternative choices for the transient carrying capacity ( $K^{\top}$ ) in tons - note that the estimated value is 435600 tons, and the Reference Case corresponds to $K^{t}=0$.

## Eastern Hills CPUE



- Observed ———Reference Case $=\rightarrow$ - Estimated ——— 100000 —•- - 300000
Eastern Hills acoustic (relative)


$$
\text { - Observed ——Reference Case - } \rightarrow \text { - Estimated ——— } 100000 \text { —-- } 300000
$$

Figure 4 cont. Comparison of Resident-and-Transient model fits to the data indices of abundance for the various models fitted given the Reference Case value for $M-g$ of 0.015 . The different options shown reflect alternative choices for the transient carrying capacity ( $K^{\circ}$ ) in tons - note that the estimated value is 435600 tons, and the Reference Case corresponds to $K^{h}=0$.


$$
-=- \text { Box }- \text { Andes }- \text { Hills } \longrightarrow \text { Transient }
$$

Figure 5. Resident and transient biomass trajectories for the Reference Case choice of $M-g=0.035$ for the case where $K^{\dagger}$ is estimated (note the different scale for the latter).

## Spawning Box acoustic


$\rightarrow$ Observed $\rightarrow$ Reference Case $=*$ - estimated $\longrightarrow-100000 \longrightarrow-300000$

Figure 6. Comparison of the estimated spawning biomass ( $B^{r}+\lambda B^{t}$ ) trajectories pertinent to the acoustic index of abundance for the Spawning Box for the variants considered. The plotted observed acoustic index is shown in absolute terms.

## Spawning Box



Eastern Hills



Figure 7. Comparison of the estimated resident biomass trajectories for the Spawning Box, Andes and Eastern Hills grounds for the various models fitted, as well as for the transient biomass trajectories for these models for the Reference Case value for $\mathrm{M}-\mathrm{g}$ of 0.035 .

