# Applications of Statistical Catch-at-Age Assessment Methodology to Gulf of Maine cod, October 2012 

Doug S. Butterworth and Rebecca A. Rademeyer

October 2012


#### Abstract

Summary

The Statistical Catch-at-Age assessment conducted by the authors earlier in 2012 is updated to take account of more recent data, and refined by introducing two new features: fitting to length distribution data for the NEFSC surveys in the 1960s for which age information is not available, and adjusting the externally provided estimates of the Bigelow-Albatross calibration function through adding the calibration information contained in cohorts present both before and after the survey vessel change to the model fitting process. The options selected for the Base Case assessment are those motivated in the assessment conducted earlier in the year. The resultant estimate of the 2011 spawning biomass is 12.0 thousand tons with a CV of $13 \%$. The survey calibration function is slightly modified, resulting in an increase of about 3\% in the 2011 spawning biomass. The survey catch-at-length data are consistent with previous estimates of poor recruitments from relatively large spawning biomasses in the 1960s. This last result is robust under a range of sensitivity tests, and is suggestive of a Ricker-like stock-recruitment relationship for the stock. These sensitivity tests also suggest that the 2011 spawning biomass estimate of 12.0 thousand tons is robustly determined. The range of this estimate across these sensitivities is 9.9 to 16.6 thousand tons, with lower values arising from the sqrt(p) weighting approach for proportions data and from forcing selectivities above age 6 to be flat, and the higher values coming from inclusion of the stock-recruitment function in the assessment and increasing the value of $M$. The evidence for commercial selectivities to be domed relative to the NEFSC surveys appears reasonably strong, but less so that for the selectivities for these surveys themselves to be domed.


## Introduction

This paper is an extension of the Statistical Catch-at-Age (SCAA) assessment advocated in Butterworth and Rademeyer (2012) which was presented to a meeting of the NEFMC SSC in March earlier this year (2012). The NBC2 variant selected there is extended here to incorporate one further year's data, and refined to also take account of length distribution data available for the un-aged pre-1970 NEFSC surveys, and to use the population model fit to improve estimates of the Bigelow-Albatross survey calibration relationship.

The paper also checks the sensitivity of results for its Base Case assessment to some of the factors on which discussions at the SSC indicated an absence of unanimity. For the most part, only single factor changes to the Base Case have been run. Further runs combining more than one change to such factors could be specified by the coming October assessment meeting, and run during its duration, if required.

This paper focuses on assessment aspects, with a further paper on the estimation of reference points to follow shortly.

## Data and Methodology

The catch and survey based data (including catch-at-length information) and some biological data used for the analyses are listed in Tables in Appendix A.

The details of the SCAA assessment methodology are provided in Appendix B.

## Results

Results are given for a Base Case (Run 1) and various sensitivities. As indicated in the Introduction, this Base Case makes choices for various options in the assessment in line with those motivated in Butterworth and Rademeyer (2012), specifically:

- Start in 1964
- Estimate the first three numbers-at-age for 1964, and then the parameter $\phi$ (see equation B11) to provide estimates for the numbers at older ages - note that unlike in Butterworth and Rademeyer (2012), the value of $\phi$ is not restricted by bounds in this estimation process
- Set $M=0.2$ for all ages
- Use the "adjusted" lognormal formulation of equation B. 16 to describe the distribution of proportions-at-age (in relation to numbers of fish)
- Admit the possible estimation of domed selectivity for the NEFSC surveys and for the commercial fishery
- Do not fit the stock-recruitment function is within the population model fitting procedure
- Make allowance for additional variance when fitting to time series of abundance indices
- Fit to the aggregated abundance indices as expressed in terms of biomass rather than numbers.

In addition, this Base Case incorporates what are considered to be improvements to the model:

- Allow the assessment data to update the independent estimate of the BigelowAlbatross calibration function parameters that have been determined from experimental paired trawls (see section B.2.7)
- Incorporate data on NEFSC survey length compositions from the 1960s when catches from these surveys were not aged.

Tables 1-4 list results for Base Case and various sensitivities, focusing on the contributions to the assessment period considered, as well values for the survey catchabilities $q$.

Figs 1-4 provide estimates and diagnostic plots for the Base Case fit, while Fig. 5 shows how the Bigelow-Albatross survey calibration function has been updated. Figs 6-12 and 14-15 show results for various sensitivities to the Base Case, while Fig, 13 shows results for a retrospective analysis of the Base Case.

## Discussion

The Base Case results in Table 1 and Fig. 1 show a spawning biomass that has been decreasing somewhat over the last two years, essentially as a consequence of a decline in recruitment since 2005. As to be expected, the precision of spawning biomass estimates is less in the 1960s and 70s when less age information is available, and also drops for the most recent few years. In contrast the annual recruitment estimates are all fairly precise except for the final year (2011). Survey catchability ( $q$ ) estimates are all below 1, and non-trivial levels of additional variance are estimated for all three abundance indices. The 2011 spawning biomass is estimated at 12.0 thousand tons with an associated CV of 13\%.

For this Base Case, both commercial and NEFSC survey selectivities are estimated to be appreciably domed (Fig. 2). Standard fit diagnostics for both abundance indices and proportion-at-age data in Fig. 3 show broadly reasonable fits, though there is some evidence of systematic trends in the proportion-at-age residuals for the Massachusetts Spring survey and for the commercial catch. The last might be ameliorated by allowing for a change in the recent commercial selectivity pattern (for whose values the model often struggles to obtain convergence) to occur in the mid-2000s. The fits to the survey proportions-at-length data over the 1960s (Fig. 4) is fair, but does evidence some data conflict with proportions at the smaller lengths underestimated for the spring surveys and overestimated for the autumn surveys, with the reverse effect at larger lengths.

Updating the Bigelow-Albatross calibration function in the model suggests that the results from the paired trawls experiment slightly overestimated the factor at larger lengths, but similarly underestimated it at smaller lengths (Fig.5). Using the existing Bigelow-Albatross calibration function without this model-fitting refinement would result in a slightly lower 2011 spawning biomass of 11.7 thousand tons

Moving on to sensitivity tests, alternative starting years for the assessment have a negligible impact on estimates of the current spawning biomass, but there is some sensitivity shown by the estimates of spawning biomass in the 1960s, though these still remain high relative to estimates for the last two decades (Table 1, Runs 2a-d and Fig. 6). For a 1982 start, the catchability coefficient ( $q$ ) estimate for the NEFSC Spring survey increases above 1 to 1.09 .

The parameter $\phi$ related to the starting numbers-at-age vector for 1964 is estimable, but with quite a high CV of $47 \%$, so that it is not surprising that the starting spawning biomass is not that well determined (Table 1, Runs 3a-e and Figs 1 and 6). The selection of how many ages to estimate starting numbers-at-ages to estimate in this starting vector is clearly suggested to be three (ages 0-2) for the Base Case by the process of considering successive improvements in - InL as this number is increased (Table 2, Runs 4a-h). Alternative selections for both these factors have minimal impact on estimates of the 2011 spawning biomass.

Increasing the weight given to the survey catch-at-length data from the 1960s suggests a slight decrease in recruitment in the 1960s (Table 3, Runs 5a-b and Fig. 8, so that these data do not contradict earlier inferences of poor recruitment over this period (when spawning biomass was relatively high) which were made in the absence of this information (Butterworth and Rademeyer, 2011 and 2012). If less weight is placed on the input information for the BigelowAlbatross calibration function, the calibration factor moves still lower at higher lengths, and still higher at lower lengths (Table 3, Run 6 and Fig.9). This indicates that the information on calibration provided by the presence of common cohorts in both the pre- and post-vesselchange periods points somewhat differently from the independent experiment in regard to the values of the calibration function, so that estimates of this may change further as more data from these cohorts accumulates over the next few years.

Including estimation of a Ricker stock recruitment function in the assessment leads to a higher estimate of the 2011 spawning biomass of about 14 thousand tons as a result of increased estimates of recruitment over recent years (Table 3, Run 7 and Fig. 10). In contrast using the sqrt(p) option of weighting proportion-at-age data in the log likelihood in place of the "adjusted" lognormal see this estimate drop to some 11 thousand tons (Table 3, Run 8). Fig. 3 also shows the fit residuals for age and length distribution data under this alternative; there is no obvious improvement or deterioration in the pattern of these residuals for the sqrt(p) compared to the "adjusted" lognormal run, and so no clear reason from these plots to prefer one distributional form over the other.

Sensitivities which modify the commercial selectivity-at-age for the pre-1982 period to reflect a relatively greater catch of smaller fish (Palmer, pers. commn, advises that nets in that period tended to have smaller mesh sizes) have scarcely any impact on spawning biomass trends, and are somewhat less preferred in likelihood terms (Table 3, Runs 9a-b, and Fig. 11). Increasing natural mortality $M$ from 0.2 to 0.3 increases spawning biomass estimates as would be expected, and is slightly preferred in likelihood terms (Table 3, Run 10 and Fig. 12).

Fig. 13 shows the results from a retrospective analysis for the Base Case assessment. There is a large difference evident for assessments carried out in 2007 and 2008 (possibly linked to the high NEFSC Spring survey estimates at that time), but thereafter any retrospective effect is fairly small.

Runs 11 and 12 in Table 4 show the consequences of forcing either the survey selectivity or both the survey and commercial selectivities to be flat at older ages above 6. These correspond to estimating 3 or 9 fewer parameter values, with associate deterioration in -InL by some 7 or 24 points respectively. Assuming domes is thus AIC justified in both cases. Forcing this flatness results in lower spawning biomass (Fig. 14), though most of this effect comes from forcing flatness in the commercial selectivity function, e.g. with the survey selectivities only forced to be flat, the 2011 spawning biomass estimate drops only from 12.0 to 11.6 thousand tons (a $4 \%$ effect).

Table 4 and Fig. 15 show results from repeating the flat selectivity sensitivities of Runs 11 and 12 , but here under the sqrt(p) weighting approach for proportions data in place of the "adjusted" lognormal distribution assumption. Again the assumption of a dome in the commercial selectivity is AIC justified, but the extension of that to the NEFSC survey data is marginal in that respect. Butterworth and Rademeyer (2012) found that the Massachusetts Spring survey showed a selectivity pattern which was flat for the sqrt(p) case rather than decreasing at ages above 3 as in the case of the "adjusted" lognormal, which they considered of questionable realism given the more near-shore area which this survey covers. However this argument for preferring the "adjusted" lognormal is less clear for these updated computations. These results may be compromised by failure to achieved convergence in some of these runs (see Tables 3 and 4 captions), though as this arises only from sensitivity of the process to estimation of the commercial selectivity parameters for the more recent period, this seems unlikely to have a great influence on abundance estimates and trends. Overall the case for a dome in the commercial relative to the NEFSC survey catches seems reasonably strong, but that for a dome in these survey selectivities themselves less so.

## Conclusions

Key features of these results are:
a) Although there is some uncertainty about spawning biomass estimates in the 1960s, nevertheless these are robustly estimated to be towards the higher end of the range of spawning biomasses through the 1964-2011 period considered. Further the recruitments at that time are precisely and robustly estimated to have been towards the low end of the range of recruitment levels throughout this period. This is suggestive of a Ricker-type stock-recruitment relationship, something that is not a priori surprising for a cod stock given the species' cannibalistic behaviour.
b) The spawning biomass in 2011 is relatively robustly estimated at 12.0 thousand tons. The range of this estimate across the sensitivities examined is 9.9 to 16.6 thousand tons, with lower values arising from the sqrt(p) weighting for proportions data and from forcing selectivities above age 6 to be flat, and the higher values coming from including the stock-recruitment function in the assessment and increasing the value of $M$.

Note that the authors' general preference is for the inclusion of a stock recruitment relationship in fitting assessment models. This was not included in the Base Case here so that other sensitivities could be examined without the inclusion of the relationship perhaps confounding interpretation of the results.

## Acknowledgements

We thank Michael Palmer and Tim Miller for provision of the data and/or parameter estimates upon which the analyses reported in this paper are based.

## References

Butterworth DS and Rademeyer RA. 2011. Applications of statistical catch-at-age assessment methodology to Gulf of Maine cod. Document submitted to the 17-21 October, 2011 workshop on the assessment of Gulf of Maine cod, Falmouth. 31pp.

Butterworth DS and Rademeyer RA. 2012. An Investigation of Differences Amongst SCAA and ASAP Assessment (including Reference Point) Estimates for Gulf of Maine Cod. Document submitted to the March 2012 meeting of the New England Fisheries Management Council SSC. 34pp.

Miller TJ, Das, C, Politis PJ, Miller AS, Lucey SM, Legault CM, Brown RW and Rago PJ. 2010. Estimation of Albatross IV to Henry B. Bigelow Calibration Factors. U.S. Depart. of Commerce, Northeast Fisheries Science Center Ref. Doc. 10-05; 233 pp.

Table 1: Estimates of abundance and related quantities for the Gulf of Maine cod for a series of assessment sensitivities. Values in parentheses are Hessian based CV's. Mass units are '000 tons. y1 refers to the start year for the assessment. $N_{y 1,0}$ is in millions. Refer to Appendix for definition of some of the symbols used. Note that Runs 2a) to 2 d ) were conducted with the same number of ages in the starting numbers-at-age vector as for the Base Case (viz. ages $0-2\}$; later starting years, it is probable that extending this estimation to further ages is statistically justifiable.

|  | 1) Base Case |  | 2) Alternative start year |  |  |  |  |  |  |  | 3) Alternative fixed values of $\phi$ |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 2a) |  | 2b) |  | 2c) |  | 2d) |  | 3a) $\phi=0.0$ |  | 3b) $\phi=0.0$ |  | 3c) $\phi=0.1$ |  | 3d) $\phi=0.2$ |  | 3e) $\phi=0.3$ |  |
| Start year | 1964 |  | 1965 |  | 1967 |  | 1970 |  | 1982 |  | 1964 |  | 1964 |  | 1964 |  | 1964 |  |  |  |
| -InL: overall | -162.8 |  | -158.9 |  | -148.5 |  | -147.9 |  | -95.0 |  | -160.2 |  | -161.7 |  | -162.3 |  | -162.2 |  | -159.4 |  |
| -InL: survey | -37.5 |  | -37.4 |  | -35.5 |  | -32.4 |  | -17.3 |  | -37.5 |  | -37.5 |  | -37.5 |  | -37.3 |  | -36.8 |  |
| -InL: comCAA | -129.6 |  | -129.6 |  | -129.5 |  | -129.5 |  | -120.8 |  | -129.6 |  | -129.6 |  | -129.3 |  | -129.7 |  | -129.5 |  |
| -InL: survCAA | -13.9 |  | -6.4 |  | 6.8 |  | 17.7 |  | 47.6 |  | -13.2 |  | -13.7 |  | -13.9 |  | -13.5 |  | -12.6 |  |
| -InL: survCAL | 22.1 |  | 18.1 |  | 13.3 |  | 0.0 |  | 0.0 |  | 24.0 |  | 23.1 |  | 22.3 |  | 22.1 |  | 23.5 |  |
| -InL: RecRes | 1.3 |  | 1.3 |  | 1.3 |  | 1.3 |  | 1.2 |  | 1.3 |  | 1.3 |  | 1.3 |  | 1.3 |  | 1.3 |  |
| -InL: calibration | -5.2 |  | -5.0 |  | -5.0 |  | -5.1 |  | -5.6 |  | -5.2 |  | -5.2 |  | -5.3 |  | -5.2 |  | -5.2 |  |
| $\mathrm{N}_{\mathrm{y} 1,0}$ | 7.49 | (0.13) | 4.15 | (0.17) | 3.63 | (0.17) | 4.21 | (0.16) | 12.94 | (0.07) | 7.55 | (0.13) | 7.53 | (0.13) | 7.42 | (0.13) | 7.45 | (0.13) | 7.43 | (0.13) |
| $\phi$ | 0.14 | (0.47) | 0.45 | (0.14) | 0.29 | (0.15) | 0.10 | (0.70) | 0.52 | (0.07) | 0.00 | - | 0.05 | - | 0.10 | - | 0.20 | - | 0.30 | - |
| $\mathrm{B}^{\text {sp }} 2011$ | 12.02 | (0.13) | 12.01 | (0.13) | 11.97 | (0.14) | 11.98 | (0.16) | 12.03 | (0.17) | 12.03 | (0.14) | 12.03 | (0.13) | 12.04 | (0.13) | 12.03 | (0.13) | 12.00 | (0.13) |
| $\mathrm{B}^{\text {sp }}{ }_{1982}$ | 32.25 | (0.06) | 32.24 | (0.07) | 32.25 | (0.06) | 32.25 | (0.12) | 32.31 | (0.10) | 32.25 | (0.06) | 32.25 | (0.06) | 32.40 | (0.06) | 32.21 | (0.06) | 32.25 | (0.06) |
| $B^{5 p}{ }_{y 1}$ | 42.40 | (0.24) | 25.32 | (0.20) | 42.52 | (0.16) | 45.17 | (0.32) | 32.31 | (0.10) | 56.88 | (0.15) | 51.58 | (0.14) | 46.82 | (0.14) | 36.68 | (0.14) | 28.46 | (0.14) |
|  | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | $q$ | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ |
| NEFSC spring | 0.91 | 0.19 | 0.91 | 0.19 | 0.91 | 0.19 | 0.91 | 0.19 | 1.09 | 0.24 | 0.91 | 0.19 | 0.91 | 0.19 | 0.90 | 0.19 | 0.91 | 0.19 | 0.91 | 0.19 |
| NEFSC fall | 0.83 | 0.07 | 0.84 | 0.07 | 0.84 | 0.07 | 0.84 | 0.07 | 0.73 | 0.10 | 0.82 | 0.07 | 0.83 | 0.07 | 0.83 | 0.07 | 0.84 | 0.07 | 0.85 | 0.07 |
| MADMF spring | 0.20 | 0.13 | 0.20 | 0.13 | 0.20 | 0.13 | 0.20 | 0.13 | 0.20 | 0.16 | 0.20 | 0.13 | 0.20 | 0.13 | 0.20 | 0.13 | 0.20 | 0.13 | 0.20 | 0.13 |

Table 2: Estimates of abundance and related quantities for the Gulf of Maine cod for a series of assessment sensitivities relating to the initial numbers-at-age vector. Values in parentheses are Hessian based CV's. Mass units are '000 tons. y1 refers to the start year for the assessment. $N_{y 1,0}$ is in millions. Refer to Appendix B for definition of some of the symbols used.


Table 3: Estimates of abundance and related quantities for the Gulf of Maine cod for a series of assessment sensitivities. Values in parentheses are Hessian based CV's. Mass units are '000 tons. y1 refers to the start year for the assessment. $N_{y 1,0}$ is in millions. Refer to Appendix B for definition of some of the symbols used. Runs marked * did not converge fully. The associated sensitivity of the fitting process arises in estimating the selectivity vector for the second commercial period. In all such cases, a rerun was conducted with this vector fixed at the best estimates that had been achieved thus far, and convergence was readily achieved.

| Start year | 1) Base Case |  | 5) Higher weight for CAL |  |  |  | 6) Less weight input calibration |  | 7) Ricker internal |  | 8) $\operatorname{sqrt}(p)$ option for CAA and CAL weighting |  | 9) Alternative pre-1982 commercial selectivity |  |  |  | 10) Higher M |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 5a) $\mathrm{W}_{\text {CAL }}=1$ |  | 5b) $\mathrm{W}_{\text {CAL }}=5$ |  |  | * | 1964 |  |  | * | 9a) option 1 |  | 9b) option 2 |  | 10a) $M=0.3$ |  |
|  | 1964 |  | 1964 |  | 1964 | * |  |  |  |  | 1964 |  |  | 1964 |  | 1964 |  |
| -InL: overall | -162.8 |  | 15.1 |  | 660.2 |  | -160.2 |  | -125.5 |  |  | -2503.7 |  | -161.2 |  | -158.4 |  | -164.6 |  |
| -InL: survey | -37.5 |  | -37.6 |  | -39.1 |  | -38.0 |  | -35.4 |  | -36.7 |  | -37.8 |  | -37.8 |  | -37.9 |  |
| -InL: comCAA | -129.6 |  | -129.3 |  | -131.0 |  | -129.7 |  | -129.5 |  | -737.6 |  | -128.8 |  | -128.0 |  | -131.3 |  |
| -InL: survCAA | -13.9 |  | 2.1 |  | 89.6 |  | -16.1 |  | -12.6 |  | -1611.9 |  | -13.0 |  | -10.8 |  | -12.9 |  |
| -InL: survCAL | 22.1 |  | 183.9 |  | 744.8 |  | 22.1 |  | 22.0 |  | -113.4 |  | 22.2 |  | 22.3 |  | 21.8 |  |
| -InL: RecRes | 1.3 |  | 1.2 |  | 1.3 |  | 1.3 |  | 35.3 |  | 1.4 |  | 1.2 |  | 1.2 |  | 0.7 |  |
| -InL: calibration | -5.2 |  | -5.2 |  | -5.3 |  | 1.8 |  | -5.3 |  | -5.5 |  | -5.2 |  | -5.2 |  | -5.0 |  |
| $\mathrm{N}_{\mathrm{y} 1,0}$ | 7.49 | (0.13) | 6.89 | (0.12) | 7.45 | (0.11) | 7.52 | (0.13) | 7.26 | (0.13) | 7.23 | (0.14) | 8.19 | (0.13) | 8.65 | (0.13) | 16.30 | (0.13) |
| $\phi$ | 0.14 | (0.47) | 0.11 | (1.21) | 0.18 | (0.23) | 0.14 | (0.46) | 0.08 | (0.99) | 0.17 | (0.37) | 0.12 | (0.48) | 0.12 | (0.45) | 0.01 | (0.03) |
| $\mathrm{B}^{\text {sp }}{ }_{2011}$ | 12.02 | (0.13) | 12.89 | (0.48) | 11.38 | (0.14) | 12.04 | (0.19) | 14.03 | (0.17) | 10.83 | (0.10) | 11.94 | (0.13) | 11.88 | (0.11) | 16.61 | (0.11) |
| $\mathrm{B}^{\text {sp }} 1982$ | 32.25 | (0.06) | 33.72 | (0.25) | 29.91 | (0.07) | 32.24 | (0.06) | 33.30 | (0.07) | 28.91 | (0.03) | 33.29 | (0.07) | 33.96 | (0.04) | 39.23 | (0.06) |
| $B^{5 p}{ }_{\text {y } 1}$ | 42.40 | (0.24) | 58.53 | (0.86) | 34.60 | (0.26) | 42.15 | (0.25) | 53.65 | (0.29) | 33.69 | (0.19) | 42.54 | (0.24) | 42.54 | (0.18) | 74.73 | (0.11) |
|  | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ |
| NEFSC spring | 0.91 | 0.19 | 0.86 | 0.18 | 0.89 | 0.17 | 0.91 | 0.19 | 0.89 | 0.20 | 0.95 | 0.19 | 0.92 | 0.18 | 0.93 | 0.17 | 0.63 | 0.19 |
| NEFSC fall | 0.83 | 0.07 | 1.03 | 0.08 | 1.57 | 0.07 | 0.84 | 0.07 | 0.82 | 0.07 | 0.85 | 0.07 | 0.86 | 0.08 | 0.87 | 0.08 | 0.58 | 0.07 |
| MADMF spring | 0.20 | 0.13 | 0.19 | 0.13 | 0.20 | 0.13 | 0.20 | 0.13 | 0.19 | 0.14 | 0.32 | 0.13 | 0.20 | 0.13 | 0.20 | 0.13 | 0.13 | 0.12 |

Table 4: Estimates of abundance and related quantities for the Gulf of Maine cod for a series of assessment sensitivities. Values in parentheses are Hessian based CV's. Mass units are '000 tons. y1 refers to the start year for the assessment. $N_{y 1,0}$ is in millions. Refer to Appendix B for definition of some of the symbols used. Runs marked * did not converge fully. The associated sensitivity of the fitting process arises in estimating the selectivity vector for the second commercial period. In all such cases, a rerun was conducted with this vector fixed at the best estimates that had been achieved thus far, and convergence was readily achieved.

|  | 1) Base Case |  | 11) Flat NEFSC survey selectivities |  | 12) Flat NEFSC survey and commercial selectivities |  | 8) sqrt(p) option for CAA and CAL weighting |  | 13) sqrt(p) option and flat NEFSC surv sel |  | 14) sqrt(p) option and flat NEFSC surv and com sel |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Start year | 1964 |  | 1964 | * | 1964 | * | 1964 | * | 1964 | * | 1964 | * |
| -InL: overall | -162.8 |  | -155.6 |  | -138.5 |  | -2503.7 |  | -2501.0 |  | -2491.6 |  |
| -InL: survey | -37.5 |  | -39.3 |  | -36.8 |  | -36.7 |  | -37.8 |  | -37.1 |  |
| -InL: comCAA | -129.6 |  | -129.2 |  | -120.5 |  | -737.6 |  | -737.3 |  | -735.0 |  |
| -InL: survCAA | -13.9 |  | -6.8 |  | 1.3 |  | -1611.9 |  | -1609.3 |  | -1601.5 |  |
| -InL: survCAL | 22.1 |  | 23.3 |  | 21.6 |  | -113.4 |  | -112.4 |  | -113.8 |  |
| -InL: RecRes | 1.3 |  | 1.4 |  | 1.4 |  | 1.4 |  | 1.4 |  | 1.5 |  |
| -InL: calibration | -5.2 |  | -5.0 |  | -5.4 |  | -5.5 |  | -5.5 |  | -5.7 |  |
| $\mathrm{N}_{\mathrm{y} 1,0}$ | 7.49 | (0.13) | 7.39 | (0.13) | 6.89 | (0.13) | 7.23 | (0.14) | 7.56 | (0.13) | 6.70 | (0.14) |
| $\phi$ | 0.14 | (0.47) | 0.17 | (0.35) | 0.17 | (0.36) | 0.17 | (0.37) | 0.20 | (0.31) | 0.17 | (0.37) |
| $\mathrm{B}^{\text {sp }} 2011$ | 12.02 | (0.13) | 11.63 | (0.11) | 9.94 | (0.10) | 10.83 | (0.10) | 10.78 | (0.10) | 10.03 | (0.09) |
| $\mathrm{B}^{\text {sp }}{ }_{1982}$ | 32.25 | (0.06) | 29.80 | (0.03) | 28.09 | (0.03) | 28.91 | (0.03) | 28.56 | (0.03) | 27.03 | (0.03) |
| $\mathrm{B}^{\text {sp }}{ }_{\mathrm{y} 1}$ | 42.40 | (0.24) | 31.88 | (0.15) | 29.72 | (0.16) | 33.69 | (0.19) | 28.61 | (0.16) | 30.19 | (0.16) |
|  | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ | 9 | $\sigma_{\text {Add }}$ |
| NEFSC spring | 0.91 | 0.19 | 0.75 | 0.18 | 0.90 | 0.19 | 0.95 | 0.19 | 0.84 | 0.19 | 0.92 | 0.19 |
| NEFSC fall | 0.83 | 0.07 | 0.73 | 0.07 | 0.87 | 0.07 | 0.85 | 0.07 | 0.79 | 0.07 | 0.84 | 0.07 |
| MADMF spring | 0.20 | 0.13 | 0.20 | 0.13 | 0.20 | 0.14 | 0.32 | 0.13 | 0.32 | 0.13 | 0.32 | 0.13 |



Fig. 1: Spawning biomass and recruitment trajectories for the Base Case with $\pm 2$ s.e.


Fig. 2: Survey and commercial selectivities-at-age estimated for the Base Case.


Fig. 3: Fits to the abundance indices (top row) and to the survey and commercial catch-at-age data for the Base Case. The second row plots compare the observed and predicted CAA as averaged over all years for which data are available, while the third row plots show the standardised residuals, with the size (area) of the bubbles being proportional to the magnitude of the corresponding standardised residuals. For positive residuals, the bubbles are grey, whereas for negative residuals, the bubbles are white. The last row plots show the comparable standardised residuals for Case 8 (sqrt(p))
 observed and predicted CAL as averaged over all years for which data are available, while the third row plots show the standardised residuals, with the size (area) of the bubbles being proportional to the magnitude of the corresponding standardised residuals. For positive residuals, the bubbles are grey, whereas for negative residuals, the bubbles are white.


Fig. 5: Comparison of calibration results for the calibration factor estimated within the assessment (Base Case) and calibration factor given.


Fig. 6: Spawning biomass trajectories for the Base Case and four sensitivities with different starting year.


Fig. 7: Spawning biomass trajectories for the Base Case and two sensitivities with different fixed $\phi$ values. For the Base Case, $\phi$ is estimated ( $\phi=0.14$ ).


Fig. 8: Recruitment trajectories for the Base Case and Case 5a for which more weight is given to the CAL data.


Fig. 9: Calibration factor.


Fig. 10: Fits to the stock-recruitment data for the case with an internal Ricker stock-recruitment curve estimated (Case 7) (left-hand plot) and trajectories of recruitment for the Base Case and Case 7.


Fig. 11: Commercial selectivities (left-hand plot) for cases 9a-b with alternative pre-1982 commercial selectivities and spawning biomass trajectories.


Fig. 12: Spawning biomass trajectories for the Base Case and Case 10 with $M=0.3$.


Fig. 13 Retrospective analysis for the Base Case A for spawning biomass and recruitment.


Fig. 14: Selectivities and spawning biomass trajectories for the Base Case and Cases 11 and 12for which the selectivity functions indicated are forced to be flat above age 6..


Fig. 15: Selectivities and spawning biomass trajectories for the Base Case and the sqrt(p) cases (Cases 8, 13 and 14).

## APPENDIX A - Data

Table A1: Total catch (incl. USA, DWF and recreational landings, and discards) (thousand metric tons) of Atlantic cod from the Gulf of Maine (NAFO Division 5Y), 1964-2012 (Michael Palmer, pers. commn). The revised discard mortality assumptions have been applied. Note that pre-1982 catches have been increased by $25 \%$ in the Base Case to allow for levels of discards suggested by recent analyses by the NEFSC. The 2012 catch is assumed to be 6.830 thousand metric tons, as in 2011; some assumption is needed to be able to take account of the Spring 2012 NEFSC survey given that this occurs though equation B. 9 which requires this input.

| Year | Total catch | Year | Total catch | Year | Total catch |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1964 | 3.242 | 1980 | 12.515 | 1996 | 7.757 |
| 1965 | 3.759 | 1981 | 16.512 | 1997 | 5.814 |
| 1966 | 4.225 | 1982 | 17.096 | 1998 | 4.578 |
| 1967 | 5.824 | 1983 | 16.487 | 1999 | 3.078 |
| 1968 | 6.137 | 1984 | 12.868 | 2000 | 5.823 |
| 1969 | 8.155 | 1985 | 14.391 | 2001 | 8.055 |
| 1970 | 7.961 | 1986 | 12.572 | 2002 | 6.509 |
| 1971 | 7.475 | 1987 | 12.005 | 2003 | 6.497 |
| 1972 | 6.927 | 1988 | 10.333 | 2004 | 5.766 |
| 1973 | 6.138 | 1989 | 13.371 | 2005 | 5.441 |
| 1974 | 7.550 | 1990 | 19.314 | 2006 | 4.268 |
| 1975 | 8.788 | 1991 | 20.978 | 2007 | 5.527 |
| 1976 | 9.894 | 1992 | 12.347 | 2008 | 7.375 |
| 1977 | 11.993 | 1993 | 9.960 | 2009 | 8.355 |
| 1978 | 11.890 | 1994 | 9.060 | 2010 | 7.670 |
| 1979 | 10.972 | 1995 | 7.566 | 2011 | 6.830 |

Table A2: Mean weight-at-age (kg) at the beginning of the year for the Gulf of Maine cod stock. Values derived from aggregated commercial landings and discard mean weight-at-age data (mid-year) using procedures described by Rivard (1980) (Michael Palmer, pers. commn) and applying the revised mortality assumptions. Pre-1982, the 1982-1991 average mean weight-at-age is assumed; for 2012, the 2002-2011 average mean weight-at-age is used.

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11+ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1982 | 0.0024 | 0.241 | 0.594 | 1.165 | 2.127 | 4.635 | 7.622 | 9.289 | 9.037 | 13.235 | 15.592 | 18.240 |
| 1983 | 0.0077 | 0.050 | 0.501 | 1.114 | 1.894 | 3.136 | 5.539 | 6.549 | 9.962 | 10.565 | 12.076 | 18.713 |
| 1984 | 0.0001 | 0.075 | 0.372 | 1.019 | 2.021 | 2.952 | 4.593 | 7.118 | 7.845 | 11.843 | 12.834 | 16.087 |
| 1985 | 0.0146 | 0.014 | 0.403 | 0.910 | 2.013 | 3.532 | 4.608 | 6.863 | 9.700 | 11.147 | 13.591 | 14.610 |
| 1986 | 0.0009 | 0.104 | 0.316 | 1.077 | 1.917 | 3.670 | 5.504 | 6.908 | 9.315 | 12.169 | 13.018 | 18.102 |
| 1987 | 0.0007 | 0.028 | 0.406 | 0.777 | 2.273 | 3.57 | 5.889 | 8.079 | 9.487 | 11.842 | 14.008 | 16.407 |
| 1988 | 0.0003 | 0.022 | 0.293 | 0.980 | 1.709 | 4.010 | 4.927 | 6.705 | 10.069 | 10.761 | 15.633 | 12.054 |
| 1989 | 0.0223 | 0.027 | 0.292 | 0.887 | 2.179 | 3.172 | 5.578 | 6.945 | 8.799 | 13.032 | 14.593 | 24.532 |
| 1990 | 0.0063 | 0.095 | 0.431 | 0.937 | 1.742 | 3.627 | 5.750 | 8.043 | 10.440 | 13.894 | 16.575 | 22.637 |
| 1991 | 0.0069 | 0.071 | 0.450 | 1.083 | 1.689 | 2.846 | 5.654 | 8.972 | 11.518 | 13.416 | 9.721 | 24.937 |
| 1992 | 0.0116 | 0.028 | 0.476 | 1.215 | 2.026 | 2.564 | 4.629 | 8.832 | 10.453 | 12.827 | 17.092 | 23.406 |
| 1993 | 0.0116 | 0.046 | 0.191 | 1.254 | 1.702 | 3.449 | 4.083 | 7.388 | 12.219 | 12.332 | 15.361 | 23.790 |
| 1994 | 0.0095 | 0.038 | 0.236 | 1.003 | 2.244 | 2.571 | 5.294 | 6.601 | 11.095 | 11.435 | 17.872 | 22.643 |
| 1995 | 0.0122 | 0.051 | 0.275 | 0.946 | 2.021 | 3.934 | 4.722 | 8.526 | 10.045 | 15.741 | 14.877 | 22.643 |
| 1996 | 0.0223 | 0.060 | 0.356 | 1.462 | 1.784 | 2.971 | 6.185 | 8.967 | 12.844 | 14.654 | 19.623 | 22.643 |
| 1997 | 0.0049 | 0.049 | 0.391 | 1.466 | 2.407 | 2.571 | 3.973 | 8.245 | 11.940 | 14.994 | 17.039 | 17.655 |
| 1998 | 0.0015 | 0.059 | 0.256 | 1.445 | 2.245 | 3.423 | 3.558 | 5.739 | 10.442 | 14.585 | 15.340 | 17.655 |
| 1999 | 0.0224 | 0.044 | 0.343 | 1.196 | 2.237 | 3.139 | 4.752 | 5.301 | 8.351 | 12.198 | 17.158 | 17.655 |
| 2000 | 0.0092 | 0.120 | 0.461 | 1.063 | 2.257 | 3.422 | 4.773 | 5.508 | 7.882 | 11.040 | 13.348 | 18.741 |
| 2001 | 0.0229 | 0.097 | 0.456 | 1.305 | 2.420 | 3.851 | 5.091 | 6.513 | 6.912 | 9.042 | 14.823 | 16.934 |
| 2002 | 0.0115 | 0.089 | 0.465 | 1.050 | 2.249 | 3.247 | 5.296 | 6.514 | 7.924 | 10.032 | 9.746 | 18.741 |
| 2003 | 0.0217 | 0.089 | 0.346 | 1.053 | 1.742 | 2.977 | 4.118 | 6.837 | 8.011 | 9.693 | 11.538 | 15.128 |
| 2004 | 0.0105 | 0.066 | 0.351 | 0.97 | 2.110 | 2.620 | 4.199 | 5.908 | 8.627 | 10.747 | 12.280 | 15.612 |
| 2005 | 0.0082 | 0.060 | 0.248 | 0.821 | 1.654 | 3.338 | 3.841 | 5.758 | 7.593 | 10.204 | 13.212 | 15.649 |
| 2006 | 0.0428 | 0.089 | 0.295 | 0.808 | 1.890 | 2.467 | 4.076 | 4.912 | 6.744 | 8.837 | 11.620 | 16.704 |
| 2007 | 0.0086 | 0.124 | 0.450 | 0.925 | 1.771 | 3.005 | 3.723 | 5.020 | 6.329 | 8.703 | 10.979 | 15.470 |
| 2008 | 0.0464 | 0.085 | 0.420 | 1.117 | 1.888 | 2.892 | 3.630 | 5.147 | 6.803 | 8.308 | 12.351 | 16.157 |
| 2009 | 0.0137 | 0.171 | 0.480 | 1.248 | 2.283 | 2.908 | 3.658 | 4.735 | 6.735 | 9.047 | 9.942 | 15.516 |
| 2010 | 0.0061 | 0.100 | 0.589 | 1.168 | 2.328 | 3.198 | 3.685 | 4.778 | 7.153 | 8.815 | 10.755 | 14.649 |
| 2011 | 0.0836 | 0.087 | 0.492 | 1.353 | 1.972 | 3.262 | 4.114 | 4.788 | 5.751 | 10.189 | 11.448 | 18.157 |
| 2012 | 0.0253 | 0.096 | 0.414 | 1.052 | 1.989 | 2.991 | 4.034 | 5.440 | 7.167 | 9.457 | 11.387 | 16.178 |

Table A3: Mean weight-at-age (kg) of landings for the Gulf of Maine cod stock applying the revised mortality assumptions (Michael Palmer, pers. commn). Pre-1982, the 1982-1991 average mean weight-atage is assumed.

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11+ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1982 | 0.013 | 0.356 | 0.858 | 1.514 | 2.606 | 5.067 | 7.065 | 9.620 | 9.772 | 12.642 | 19.230 | 18.240 |
| 1983 | 0.024 | 0.224 | 0.768 | 1.542 | 2.418 | 3.808 | 6.055 | 6.071 | 10.317 | 11.424 | 11.535 | 18.713 |
| 1984 | 0.001 | 0.234 | 0.653 | 1.478 | 2.678 | 3.609 | 5.540 | 8.368 | 10.138 | 13.595 | 14.419 | 16.087 |
| 1985 | 0.039 | 0.206 | 0.733 | 1.404 | 2.819 | 4.658 | 5.884 | 8.502 | 11.244 | 12.256 | 13.587 | 14.610 |
| 1986 | 0.005 | 0.277 | 0.501 | 1.699 | 2.774 | 4.778 | 6.504 | 8.109 | 10.207 | 13.170 | 13.827 | 18.102 |
| 1987 | 0.004 | 0.154 | 0.6 | 1.323 | 3.090 | 4.668 | 7.259 | 10.036 | 11.099 | 13.739 | 14.899 | 16.407 |
| 1988 | 0.003 | 0.122 | 0.577 | 1.667 | 2.360 | 5.206 | 5.200 | 6.193 | 10.103 | 10.434 | 17.787 | 12.054 |
| 1989 | 0.046 | 0.237 | 0.752 | 1.518 | 2.959 | 4.282 | 5.980 | 9.276 | 12.519 | 16.810 | 20.410 | 24.532 |
| 1990 | 0.021 | 0.193 | 0.811 | 1.349 | 2.141 | 4.474 | 7.721 | 10.820 | 11.750 | 15.440 | 16.344 | 22.637 |
| 1991 | 0.014 | 0.236 | 1.113 | 1.601 | 2.281 | 3.894 | 7.144 | 10.429 | 12.261 | 15.276 | 6.122 | 24.937 |
| 1992 | 0.023 | 0.055 | 1.033 | 1.530 | 2.747 | 2.976 | 5.588 | 10.921 | 10.483 | 13.418 | 19.072 | 23.406 |
| 1993 | 0.021 | 0.081 | 0.690 | 1.748 | 2.150 | 4.420 | 5.670 | 9.817 | 13.673 | 12.332 | 17.586 | 23.790 |
| 1994 | 0.022 | 0.058 | 0.730 | 1.712 | 3.085 | 3.251 | 6.335 | 7.684 | 12.542 | 9.563 | 22.008 | 22.643 |
| 1995 | 0.027 | 0.103 | 1.288 | 1.591 | 2.649 | 5.090 | 6.865 | 11.466 | 13.128 | 19.756 | 23.143 | 22.643 |
| 1996 | 0.033 | 0.100 | 1.293 | 2.096 | 2.260 | 3.462 | 7.558 | 11.728 | 14.455 | 16.269 | 19.490 | 22.643 |
| 1997 | 0.017 | 0.064 | 1.351 | 2.128 | 3.022 | 3.074 | 4.699 | 9.000 | 12.156 | 15.625 | 17.749 | 17.655 |
| 1998 | 0.008 | 0.202 | 1.07 | 1.931 | 2.633 | 3.972 | 4.255 | 7.122 | 12.118 | 17.500 | 15.060 | 17.655 |
| 1999 | 0.052 | 0.222 | 0.635 | 1.723 | 2.777 | 3.892 | 5.670 | 6.704 | 9.811 | 12.279 | 16.823 | 17.655 |
| 2000 | 0.030 | 0.282 | 1.081 | 2.150 | 3.316 | 4.325 | 5.898 | 5.352 | 9.331 | 12.401 | 14.506 | 19.056 |
| 2001 | 0.045 | 0.316 | 0.89 | 2.176 | 3.14 | 4.666 | 6.140 | 7.273 | 9.072 | 8.788 | 17.660 | 15.417 |
| 2002 | 0.032 | 0.185 | 0.795 | 1.797 | 2.906 | 3.792 | 6.132 | 6.969 | 8.809 | 11.036 | 10.796 | 19.056 |
| 2003 | 0.038 | 0.202 | 0.809 | 1.843 | 2.378 | 3.654 | 5.112 | 7.649 | 9.191 | 10.871 | 11.890 | 15.176 |
| 2004 | 0.025 | 0.111 | 0.483 | 1.606 | 2.965 | 3.547 | 5.350 | 7.220 | 9.764 | 12.557 | 13.931 | 15.657 |
| 2005 | 0.027 | 0.126 | 0.558 | 1.625 | 2.401 | 4.233 | 4.502 | 6.350 | 8.002 | 10.698 | 13.899 | 15.627 |
| 2006 | 0.071 | 0.289 | 0.648 | 1.493 | 2.932 | 3.357 | 4.463 | 5.562 | 7.430 | 9.779 | 12.646 | 16.704 |
| 2007 | 0.025 | 0.2 | 0.7 | 1.7 | 2.922 | 3. | 4.771 | 6.167 | 7.302 | 10.554 | 12.338 | 15.470 |
| 2008 | 0.085 | 0.247 | 0.862 | 2.179 | 2.818 | 3.530 | 3.988 | 5.819 | 7.528 | 9.464 | 14.461 | 16.174 |
| 2009 | 0.032 | 0.337 | 0.911 | 2.153 | 3.126 | 3.575 | 4.368 | 5.959 | 8.000 | 10.894 | 10.454 | 15.523 |
| 2010 | 0.023 | 0.264 | 1.200 | 1.995 | 3.203 | 3.914 | 4.447 | 5.708 | 8.730 | 9.967 | 10.628 | 14.650 |
| 2011 | 0.0856 | 0.3289 | 0.9331 | 2.0561 | 2.874 | 3.8696 | 4.839 | 5.7166 | 5.9528 | 11.876 | 13.15 | 18.157 |

Table A4: Mean weight-at-age (kg) in the NEFSC spring and fall surveys, used to compute Albatross converted survey biomass indices.

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11+ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| NEFSC spring survey |  |  |  |  |  |  |  |  |  |  |  |  |
| 2009 | 0.000 | 0.031 | 0.523 | 1.441 | 2.067 | 2.601 | 2.876 | 8.067 | 9.930 | 0.000 | 12.919 | - |
| 2010 | 0.000 | 0.076 | 0.356 | 1.203 | 2.805 | 3.849 | 4.602 | 7.314 | 10.712 | 10.247 | 22.407 | 17.019 |
| 2011 | 0.000 | 0.064 | 0.453 | 1.177 | 1.717 | 2.706 | 3.509 | 5.906 | 8.521 | - | - | - |
| 2012 | 0.000 | 0.082 | 0.517 | 1.299 | 2.060 | 2.462 | 3.235 | 5.047 | 11.576 | 6.323 | - | - |
| NEFSC fall survey |  |  |  |  |  |  |  |  |  |  |  |  |
| 2009 | 0.035 | 0.555 | 1.174 | 3.366 | 4.503 | 10.575 | 6.618 | - | - | - | - | - |
| 2010 | 0.019 | 0.335 | 1.170 | 1.774 | 3.904 | 4.784 | 4.548 | 3.461 | - | - | - | 25.000 |
| 2011 | 0.022 | 0.286 | 0.942 | 1.775 | 2.323 | 4.581 | 4.931 | 10.775 | 7.135 | - | - | - |

Table A5: Total (commercial and recreational landings and discards) catches-at-age for the Gulf of Maine cod stock, applying the revised mortality assumptions (Michael Palmer, pers. commn).

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | $9+$ |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 1982 | 1346 | 448849 | 2926542 | 2287192 | 1430682 | 748755 | 65880 | 94051 | 72553 | 90055 |
| 1983 | 13645 | 597496 | 2462037 | 2913215 | 1201593 | 704010 | 452680 | 50022 | 62542 | 56198 |
| 1984 | 18275 | 370324 | 2129556 | 1675931 | 1643588 | 437453 | 219625 | 105649 | 9495 | 53395 |
| 1985 | 67101 | 505660 | 1944327 | 2405137 | 1151815 | 738096 | 161362 | 107192 | 48359 | 33213 |
| 1986 | 17767 | 760701 | 1747046 | 2747811 | 991982 | 279282 | 202725 | 48016 | 38188 | 47527 |
| 1987 | 100702 | 281794 | 2018317 | 1568334 | 1574499 | 345353 | 89415 | 81032 | 14459 | 37549 |
| 1988 | 3446 | 415081 | 1542790 | 2086633 | 1156925 | 447729 | 67430 | 25560 | 26247 | 9267 |
| 1989 | 43 | 166436 | 1247203 | 2385088 | 1651856 | 521108 | 87147 | 70289 | 9369 | 19564 |
| 1990 | 0 | 65527 | 812544 | 5547767 | 2717623 | 541353 | 189069 | 29703 | 36417 | 43315 |
| 1991 | 3251 | 121627 | 499588 | 942731 | 5561272 | 1037852 | 150670 | 55540 | 25983 | 15805 |
| 1992 | 23803 | 370302 | 830147 | 867564 | 502084 | 2189957 | 226167 | 80181 | 6044 | 5530 |
| 1993 | 26570 | 105929 | 512307 | 2149041 | 944709 | 103328 | 497117 | 41561 | 11264 | 0 |
| 1994 | 11734 | 123996 | 201923 | 1525603 | 1294203 | 266291 | 66224 | 74158 | 28714 | 7870 |
| 1995 | 11572 | 78932 | 319462 | 1321833 | 1260435 | 221653 | 29931 | 6521 | 18184 | 2808 |
| 1996 | 22067 | 37536 | 111569 | 627693 | 2003886 | 405881 | 36651 | 4039 | 491 | 1623 |
| 1997 | 1472 | 69144 | 137484 | 519557 | 467768 | 869161 | 72472 | 5523 | 2272 | 1029 |
| 1998 | 917 | 5941 | 171062 | 492301 | 628941 | 152820 | 205873 | 28696 | 5168 | 2257 |
| 1999 | 63 | 73948 | 90853 | 347840 | 336596 | 172344 | 53699 | 59469 | 12388 | 1067 |
| 2000 | 0 | 24758 | 485043 | 556537 | 813684 | 176640 | 85157 | 12485 | 10521 | 0 |
| 2001 | 0 | 584 | 393951 | 1163770 | 684449 | 385530 | 106600 | 57232 | 8262 | 11577 |
| 2002 | 0 | 16831 | 41591 | 374949 | 912638 | 323797 | 163476 | 66392 | 28087 | 20263 |
| 2003 | 22873 | 44899 | 125587 | 167812 | 582079 | 706098 | 186022 | 75694 | 29224 | 26844 |
| 2004 | 187 | 149420 | 105917 | 609344 | 259720 | 407447 | 251632 | 68378 | 33017 | 27442 |
| 2005 | 1487 | 23545 | 180064 | 159581 | 945815 | 89223 | 246596 | 109148 | 28457 | 31674 |
| 2006 | 231 | 19249 | 59082 | 426566 | 290132 | 461742 | 30341 | 79655 | 39016 | 27343 |
| 2007 | 430 | 12171 | 108471 | 299416 | 976424 | 137404 | 230163 | 7947 | 19244 | 21999 |
| 2008 | 415 | 12156 | 130508 | 598424 | 707392 | 780450 | 86355 | 110576 | 4041 | 16558 |
| 2009 | 99 | 10651 | 101492 | 622453 | 1093273 | 477852 | 304754 | 20896 | 30506 | 9646 |
| 2010 | 213 | 8159 | 83580 | 394486 | 888549 | 668256 | 164291 | 71683 | 11213 | 7611 |
| 2011 | 653 | 8683 | 60526 | 322164 | 589583 | 573856 | 339910 | 34926 | 38408 | 9433 |

Table A6: Standardized stratified mean numbers per tow at age and standardized mean weight (kg) per tow of Atlantic cod in NEFSC offshore spring research vessel bottom trawl surveys in the Gulf of Maine, 1968-2012 (Michael Palmer, pers. commn).

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11+ | Stratified mean wt/tow | CV |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1968 |  |  |  |  |  |  |  |  |  |  |  |  | 17.480 | (0.153) |
| 1969 |  |  |  |  |  |  |  |  |  |  |  |  | 13.100 | (0.329) |
| 1970 | 0.000 | 0.159 | 0.124 | 0.053 | 0.098 | 0.290 | 0.475 | 0.589 | 0.073 | 0.045 | 0.076 | 0.210 | 11.089 | (0.237) |
| 1971 | 0.000 | 0.069 | 0.109 | 0.099 | 0.280 | 0.086 | 0.096 | 0.280 | 0.207 | 0.142 | 0.050 | 0.013 | 7.004 | (0.211) |
| 1972 | 0.053 | 0.300 | 0.153 | 0.499 | 0.208 | 0.205 | 0.052 | 0.083 | 0.119 | 0.300 | 0.027 | 0.059 | 8.031 | (0.233) |
| 1973 | 0.000 | 0.053 | 4.273 | 0.917 | 0.614 | 0.384 | 0.144 | 0.106 | 0.186 | 0.276 | 0.186 | 0.386 | 18.807 | (0.415) |
| 1974 | 0.164 | 0.311 | 0.081 | 1.534 | 0.177 | 0.231 | 0.082 | 0.000 | 0.064 | 0.038 | 0.089 | 0.131 | 7.419 | (0.199) |
| 1975 | 0.012 | 0.094 | 0.707 | 0.095 | 1.139 | 0.246 | 0.073 | 0.000 | 0.006 | 0.025 | 0.028 | 0.088 | 6.039 | (0.249) |
| 1976 | 0.000 | 0.052 | 0.253 | 1.114 | 0.150 | 0.870 | 0.131 | 0.056 | 0.038 | 0.000 | 0.036 | 0.081 | 7.556 | (0.166) |
| 1977 | 0.000 | 0.068 | 0.264 | 0.460 | 2.015 | 0.139 | 0.775 | 0.000 | 0.114 | 0.000 | 0.000 | 0.038 | 8.541 | (0.208) |
| 1978 | 0.000 | 0.070 | 0.083 | 0.297 | 0.383 | 0.764 | 0.084 | 0.226 | 0.013 | 0.108 | 0.000 | 0.022 | 7.697 | (0.207) |
| 1979 | 0.044 | 0.426 | 1.407 | 0.186 | 0.470 | 0.301 | 0.549 | 0.094 | 0.104 | 0.013 | 0.031 | 0.020 | 7.555 | (0.176) |
| 1980 | 0.070 | 0.037 | 0.500 | 0.436 | 0.123 | 0.294 | 0.226 | 0.337 | 0.000 | 0.105 | 0.026 | 0.000 | 6.232 | (0.182) |
| 1981 | 0.000 | 1.091 | 0.619 | 0.850 | 1.335 | 0.318 | 0.304 | 0.080 | 0.144 | 0.091 | 0.000 | 0.000 | 10.650 | (0.205) |
| 1982 | 0.014 | 0.357 | 1.040 | 0.498 | 0.737 | 0.848 | 0.083 | 0.135 | 0.000 | 0.040 | 0.010 | 0.000 | 8.616 | (0.223) |
| 1983 | 0.013 | 0.610 | 0.968 | 1.042 | 0.453 | 0.336 | 0.250 | 0.060 | 0.000 | 0.071 | 0.033 | 0.077 | 10.962 | (0.225) |
| 1984 | 0.000 | 0.151 | 1.309 | 0.987 | 0.853 | 0.229 | 0.047 | 0.090 | 0.000 | 0.000 | 0.000 | 0.000 | 6.143 | (0.324) |
| 1985 | 0.000 | 0.029 | 0.238 | 0.676 | 0.612 | 0.707 | 0.094 | 0.109 | 0.026 | 0.026 | 0.000 | 0.000 | 7.645 | (0.223) |
| 1986 | 0.000 | 0.537 | 0.259 | 0.767 | 0.218 | 0.075 | 0.046 | 0.038 | 0.000 | 0.000 | 0.000 | 0.018 | 3.476 | (0.197) |
| 1987 | 0.000 | 0.030 | 0.471 | 0.191 | 0.222 | 0.075 | 0.000 | 0.068 | 0.011 | 0.000 | 0.000 | 0.015 | 1.976 | (0.314) |
| 1988 | 0.029 | 0.719 | 0.926 | 0.791 | 0.283 | 0.205 | 0.099 | 0.036 | 0.020 | 0.020 | 0.000 | 0.000 | 3.603 | (0.281) |
| 1989 | 0.000 | 0.025 | 0.609 | 0.712 | 0.630 | 0.069 | 0.068 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 2.424 | (0.207) |
| 1990 | 0.000 | 0.009 | 0.233 | 1.325 | 0.669 | 0.076 | 0.032 | 0.018 | 0.000 | 0.000 | 0.000 | 0.000 | 3.077 | (0.280) |
| 1991 | 0.000 | 0.028 | 0.077 | 0.233 | 1.750 | 0.247 | 0.041 | 0.018 | 0.000 | 0.000 | 0.000 | 0.000 | 2.891 | (0.240) |
| 1992 | 0.000 | 0.050 | 0.247 | 0.223 | 0.248 | 1.368 | 0.213 | 0.073 | 0.000 | 0.012 | 0.000 | 0.000 | 8.627 | (0.374) |
| 1993 | 0.000 | 0.201 | 0.507 | 0.804 | 0.364 | 0.084 | 0.446 | 0.055 | 0.023 | 0.000 | 0.023 | 0.000 | 5.875 | (0.347) |
| 1994 | 0.000 | 0.015 | 0.316 | 0.407 | 0.201 | 0.083 | 0.053 | 0.142 | 0.009 | 0.027 | 0.018 | 0.000 | 2.428 | (0.216) |
| 1995 | 0.000 | 0.037 | 0.187 | 1.165 | 0.321 | 0.147 | 0.034 | 0.000 | 0.011 | 0.000 | 0.028 | 0.000 | 2.432 | (0.257) |
| 1996 | 0.000 | 0.057 | 0.022 | 0.586 | 1.355 | 0.385 | 0.060 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 5.427 | (0.275) |
| 1997 | 0.000 | 0.159 | 0.139 | 0.390 | 0.271 | 0.874 | 0.244 | 0.115 | 0.000 | 0.000 | 0.000 | 0.000 | 5.616 | (0.192) |
| 1998 | 0.000 | 0.018 | 0.228 | 0.359 | 0.513 | 0.143 | 0.408 | 0.021 | 0.020 | 0.000 | 0.000 | 0.000 | 4.180 | (0.324) |
| 1999 | 0.000 | 0.166 | 0.342 | 0.726 | 0.351 | 0.305 | 0.134 | 0.266 | 0.000 | 0.000 | 0.000 | 0.011 | 5.090 | (0.320) |
| 2000 | 0.026 | 1.173 | 0.737 | 0.438 | 0.485 | 0.099 | 0.092 | 0.011 | 0.022 | 0.000 | 0.000 | 0.000 | 3.211 | (0.155) |
| 2001 | 0.000 | 0.029 | 0.355 | 0.683 | 0.510 | 0.342 | 0.065 | 0.097 | 0.055 | 0.000 | 0.011 | 0.000 | 6.215 | (0.327) |
| 2002 | 0.000 | 0.340 | 0.045 | 0.548 | 1.584 | 0.606 | 0.342 | 0.185 | 0.057 | 0.017 | 0.000 | 0.000 | 10.934 | (0.215) |
| 2003 | 0.000 | 0.075 | 0.825 | 0.059 | 0.718 | 1.072 | 0.387 | 0.340 | 0.081 | 0.082 | 0.030 | 0.011 | 9.495 | (0.368) |
| 2004 | 0.000 | 0.136 | 0.045 | 0.230 | 0.116 | 0.208 | 0.213 | 0.011 | 0.011 | 0.010 | 0.000 | 0.000 | 2.412 | (0.293) |
| 2005 | 0.000 | 0.029 | 0.739 | 0.081 | 0.623 | 0.011 | 0.138 | 0.128 | 0.015 | 0.000 | 0.000 | 0.000 | 2.701 | (0.248) |
| 2006 | 0.028 | 0.184 | 0.237 | 0.434 | 0.049 | 0.197 | 0.023 | 0.126 | 0.069 | 0.000 | 0.015 | 0.000 | 2.702 | (0.249) |
| 2007 | 0.000 | 0.100 | 3.422 | 3.077 | 4.446 | 0.437 | 0.796 | 0.075 | 0.041 | 0.000 | 0.000 | 0.000 | 15.811 | (0.540) |
| 2008 | 0.000 | 0.079 | 1.165 | 3.930 | 1.582 | 1.099 | 0.053 | 0.082 | 0.000 | 0.000 | 0.000 | 0.000 | 10.823 | (0.609) |
| 2009 | 0.000 | 0.063 | 0.279 | 1.050 | 1.135 | 0.600 | 0.438 | 0.008 | 0.022 | 0.000 | 0.004 | 0.000 | 7.161 | (0.491) |
| 2010 | 0.000 | 0.059 | 0.279 | 0.335 | 0.197 | 0.229 | 0.113 | 0.043 | 0.016 | 0.010 | 0.005 | 0.010 | 3.336 | (0.264) |
| 2011 | 0.000 | 0.005 | 0.024 | 0.140 | 0.383 | 0.189 | 0.086 | 0.033 | 0.035 | 0.000 | 0.000 | 0.000 | 2.133 | (0.201) |
| 2012 | 0.000 | 0.069 | 0.105 | 0.224 | 0.243 | 0.159 | 0.051 | 0.036 | 0.004 | 0.003 | 0.000 | 0.000 | 1.645 | (0.209) |

Table A7: Standardized stratified mean numbers per tow at age and standardized mean weight (kg) per tow of Atlantic cod in NEFSC offshore autumn research vessel bottom trawl surveys in the Gulf of Maine, 1964-2011 (Michael Palmer, pers. commn).

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | $11+$ | Stratified mean | CV |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1964 | - | - | - | - | - | - | - | - | - | - | - | - | 22.799 | (0.496) |
| 1965 | - | - | - | - | - | - | - | - | - | - | - | - | 12.089 | (0.273) |
| 1966 | - | - | - | - | - | - | - | - | - | - | - | - | 12.838 | (0.227) |
| 1967 | - | - | - | - | - | - | - | - | - | - | - | - | 9.313 | (0.219) |
| 1968 | - | - | - | - | - | - | - | - | - | - | - | - | 19.437 | (0.198) |
| 1969 | - | - | - | - | - | - | - | - | - | - | - |  | 15.154 | (0.217) |
| 1970 | 0.743 | 0.938 | 0.254 | 0.520 | 0.336 | 0.487 | 0.424 | 0.836 | 0.130 | 0.090 | 0.037 | 0.110 | 16.442 | (0.248) |
| 1971 | 1.334 | 0.207 | 0.224 | 0.190 | 0.607 | 0.444 | 0.509 | 0.222 | 0.280 | 0.193 | 0.031 | 0.121 | 16.529 | (0.307) |
| 1972 | 0.031 | 5.663 | 1.118 | 1.595 | 0.181 | 0.072 | 0.122 | 0.031 | 0.121 | 0.351 | 0.000 | 0.016 | 12.988 | (0.199) |
| 1973 | 0.638 | 0.327 | 2.146 | 0.179 | 0.540 | 0.191 | 0.055 | 0.018 | 0.039 | 0.182 | 0.122 | 0.016 | 8.764 | (0.267) |
| 1974 | 0.265 | 1.131 | 0.267 | 1.922 | 0.125 | 0.276 | 0.000 | 0.052 | 0.036 | 0.066 | 0.000 | 0.189 | 8.959 | (0.201) |
| 1975 | 0.006 | 0.223 | 3.028 | 0.139 | 2.354 | 0.250 | 0.105 | 0.020 | 0.000 | 0.000 | 0.000 | 0.018 | 8.619 | (0.153) |
| 1976 | 0.000 | 0.209 | 0.216 | 0.578 | 0.104 | 0.835 | 0.044 | 0.099 | 0.000 | 0.000 | 0.063 | 0.000 | 6.740 | (0.214) |
| 1977 | 0.000 | 0.046 | 0.446 | 0.456 | 1.151 | 0.133 | 0.604 | 0.024 | 0.083 | 0.021 | 0.061 | 0.048 | 10.199 | (0.126) |
| 1978 | 0.241 | 1.411 | 0.359 | 1.141 | 0.661 | 1.450 | 0.101 | 0.269 | 0.012 | 0.082 | 0.000 | 0.047 | 12.899 | (0.151) |
| 1979 | 0.000 | 0.364 | 0.617 | 0.131 | 0.696 | 0.319 | 0.754 | 0.056 | 0.135 | 0.000 | 0.053 | 0.018 | 13.927 | (0.128) |
| 1980 | 0.027 | 1.319 | 2.558 | 1.664 | 0.518 | 0.236 | 0.402 | 0.192 | 0.022 | 0.012 | 0.000 | 0.085 | 14.202 | (0.153) |
| 1981 | 0.010 | 0.581 | 0.399 | 0.469 | 0.509 | 0.092 | 0.081 | 0.081 | 0.099 | 0.000 | 0.028 | 0.000 | 7.533 | (0.233) |
| 1982 | 0.000 | 0.835 | 3.264 | 2.476 | 0.971 | 0.222 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 15.919 | (0.670) |
| 1983 | 0.000 | 0.305 | 0.905 | 0.757 | 0.267 | 0.250 | 0.219 | 0.000 | 0.000 | 0.000 | 0.018 | 0.065 | 8.416 | (0.188) |
| 1984 | 0.000 | 0.513 | 0.418 | 0.586 | 0.384 | 0.196 | 0.194 | 0.062 | 0.000 | 0.016 | 0.000 | 0.080 | 8.735 | (0.334) |
| 1985 | 0.218 | 0.445 | 0.917 | 0.627 | 0.201 | 0.246 | 0.064 | 0.000 | 0.034 | 0.070 | 0.000 | 0.000 | 8.264 | (0.354) |
| 1986 | 0.000 | 0.394 | 0.404 | 0.626 | 0.368 | 0.073 | 0.041 | 0.000 | 0.000 | 0.045 | 0.000 | 0.000 | 4.715 | (0.228) |
| 1987 | 0.128 | 0.570 | 1.388 | 0.586 | 0.198 | 0.125 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 3.394 | (0.234) |
| 1988 | 0.000 | 1.889 | 2.366 | 1.069 | 0.367 | 0.146 | 0.000 | 0.044 | 0.000 | 0.011 | 0.011 | 0.000 | 6.616 | (0.232) |
| 1989 | 0.000 | 0.145 | 2.468 | 1.458 | 0.283 | 0.138 | 0.053 | 0.000 | 0.009 | 0.000 | 0.000 | 0.000 | 4.535 | (0.181) |
| 1990 | 0.000 | 0.057 | 0.218 | 1.788 | 0.611 | 0.255 | 0.048 | 0.010 | 0.000 | 0.000 | 0.000 | 0.000 | 4.912 | (0.204) |
| 1991 | 0.009 | 0.144 | 0.151 | 0.230 | 0.621 | 0.075 | 0.000 | 0.023 | 0.000 | 0.000 | 0.000 | 0.000 | 2.782 | (0.246) |
| 1992 | 0.059 | 0.289 | 0.448 | 0.144 | 0.041 | 0.327 | 0.126 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 2.448 | (0.243) |
| 1993 | 0.031 | 0.210 | 0.575 | 0.361 | 0.017 | 0.000 | 0.038 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 1.003 | (0.263) |
| 1994 | 0.032 | 0.184 | 0.909 | 0.816 | 0.093 | 0.051 | 0.000 | 0.045 | 0.000 | 0.000 | 0.000 | 0.000 | 2.737 | (0.292) |
| 1995 | 0.008 | 0.068 | 0.308 | 1.226 | 0.304 | 0.082 | 0.011 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 3.665 | (0.325) |
| 1996 | 0.029 | 0.122 | 0.379 | 0.231 | 0.516 | 0.050 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 2.352 | (0.249) |
| 1997 | 0.000 | 0.297 | 0.091 | 0.165 | 0.168 | 0.151 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 1.872 | (0.307) |
| 1998 | 0.050 | 0.085 | 0.342 | 0.110 | 0.185 | 0.041 | 0.031 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 1.501 | (0.287) |
| 1999 | 0.025 | 0.432 | 0.375 | 0.590 | 0.244 | 0.122 | 0.019 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 3.505 | (0.193) |
| 2000 | 0.008 | 0.540 | 0.981 | 0.399 | 0.492 | 0.140 | 0.010 | 0.000 | 0.034 | 0.000 | 0.000 | 0.000 | 4.652 | (0.332) |
| 2001 | 0.018 | 0.000 | 0.171 | 0.720 | 0.478 | 0.356 | 0.124 | 0.092 | 0.000 | 0.023 | 0.000 | 0.000 | 7.324 | (0.279) |
| 2002 | 0.000 | 0.269 | 0.104 | 0.333 | 2.683 | 1.070 | 0.750 | 0.077 | 0.043 | 0.000 | 0.000 | 0.000 | 24.659 | (0.686) |
| 2003 | 0.542 | 0.461 | 0.186 | 0.216 | 0.518 | 0.451 | 0.071 | 0.062 | 0.000 | 0.011 | 0.000 | 0.011 | 5.988 | (0.251) |
| 2004 | 1.369 | 0.661 | 0.172 | 0.577 | 0.254 | 0.250 | 0.149 | 0.057 | 0.023 | 0.010 | 0.011 | 0.000 | 4.906 | (0.214) |
| 2005 | 0.034 | 0.153 | 0.378 | 0.078 | 0.456 | 0.023 | 0.090 | 0.082 | 0.023 | 0.021 | 0.000 | 0.000 | 2.897 | (0.228) |
| 2006 | 0.064 | 1.241 | 0.599 | 1.007 | 0.252 | 0.293 | 0.037 | 0.053 | 0.036 | 0.000 | 0.000 | 0.014 | 4.229 | (0.188) |
| 2007 | 0.011 | 0.136 | 0.863 | 0.395 | 0.496 | 0.023 | 0.067 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 2.714 | (0.277) |
| 2008 | 0.165 | 0.650 | 1.227 | 1.060 | 0.189 | 0.139 | 0.000 | 0.000 | 0.000 | 0.010 | 0.021 | 0.000 | 5.307 | (0.285) |
| 2009 | 0.020 | 0.660 | 2.096 | 0.314 | 0.277 | 0.045 | 0.035 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 5.845 | (0.429) |
| 2010 | 0.008 | 0.094 | 0.132 | 0.290 | 0.288 | 0.092 | 0.023 | 0.013 | 0.000 | 0.000 | 0.000 | 0.006 | 2.572 | (0.304) |
| 2011 | 0.036 | 0.060 | 0.091 | 0.210 | 0.304 | 0.175 | 0.078 | 0.005 | 0.031 | 0.000 | 0.000 | 0.000 | 2.647 | (0.336) |

Table A8: Stratified mean catch per tow in numbers and weight (kg) of Atlantic cod in State of Massachusetts inshore spring bottom trawl surveys in territorial waters adjacent to the Gulf of Maine (Mass. Regions 4-5), 1978-2012 (Michael Palmer, pers. commn).

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11+ | Stratified mean wt/tow | CV |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1978 |  |  |  |  |  |  |  |  |  |  |  |  | 11.058 | (0.138) |
| 1979 |  |  |  |  |  |  |  |  |  |  |  |  | 14.276 | (0.219) |
| 1980 |  |  |  |  |  |  |  |  |  |  |  |  | 14.509 | (0.128) |
| 1981 |  |  |  |  |  |  |  |  |  |  |  |  | 18.689 | (0.265) |
| 1982 | 1.668 | 13.218 | 6.649 | 2.921 | 1.024 | 0.216 | 0.049 | 0.046 | 0.050 | 0.000 | 0.000 | 0.000 | 12.161 | (0.175) |
| 1983 | 0.718 | 30.253 | 17.570 | 4.710 | 0.347 | 1.121 | 0.075 | 0.023 | 0.033 | 0.000 | 0.000 | 0.000 | 18.746 | (0.153) |
| 1984 | 0.257 | 1.898 | 5.090 | 2.101 | 0.751 | 0.147 | 0.086 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 7.240 | (0.259) |
| 1985 | 1.569 | 1.670 | 2.695 | 2.024 | 0.498 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 4.765 | (0.194) |
| 1986 | 1.075 | 18.031 | 3.376 | 0.903 | 0.582 | 0.100 | 0.023 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 7.841 | (0.354) |
| 1987 | 0.725 | 8.622 | 5.376 | 2.045 | 0.168 | 0.147 | 0.053 | 0.000 | 0.000 | 0.070 | 0.000 | 0.000 | 7.865 | (0.271) |
| 1988 | 1.895 | 10.409 | 6.750 | 1.927 | 1.211 | 0.016 | 0.033 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 7.703 | (0.237) |
| 1989 | 0.298 | 21.463 | 22.947 | 6.868 | 0.513 | 0.108 | 0.048 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 17.346 | (0.342) |
| 1990 | 4.930 | 4.972 | 5.938 | 14.182 | 2.149 | 0.155 | 0.083 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 15.879 | (0.341) |
| 1991 | 0.355 | 5.331 | 2.295 | 1.801 | 3.669 | 0.249 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 8.730 | (0.122) |
| 1992 | 1.506 | 4.379 | 5.699 | 3.444 | 0.484 | 1.301 | 0.066 | 0.044 | 0.000 | 0.000 | 0.000 | 0.000 | 8.766 | (0.321) |
| 1993 | 80.090 | 2.842 | 6.100 | 2.509 | 0.879 | 0.166 | 0.074 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 5.861 | (0.270) |
| 1994 | 4.627 | 5.406 | 3.883 | 1.703 | 0.608 | 0.131 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 4.334 | (0.241) |
| 1995 | 11.998 | 5.985 | 2.420 | 2.408 | 0.525 | 0.028 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 3.993 | (0.225) |
| 1996 | 8.843 | 0.777 | 0.497 | 0.955 | 1.590 | 0.299 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 3.152 | (0.305) |
| 1997 | 12.431 | 2.910 | 1.035 | 0.920 | 0.190 | 0.383 | 0.018 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 2.500 | (0.250) |
| 1998 | 23.481 | 1.487 | 0.924 | 0.779 | 0.637 | 0.034 | 0.211 | 0.017 | 0.000 | 0.000 | 0.000 | 0.000 | 3.250 | (0.468) |
| 1999 | 143.000 | 11.832 | 2.407 | 2.275 | 0.735 | 0.630 | 0.036 | 0.127 | 0.017 | 0.000 | 0.000 | 0.000 | 8.997 | (0.261) |
| 2000 | 2.151 | 35.360 | 6.995 | 2.371 | 2.316 | 0.784 | 0.663 | 0.059 | 0.073 | 0.000 | 0.000 | 0.000 | 20.604 | (0.459) |
| 2001 | 25.987 | 0.084 | 4.998 | 4.710 | 3.448 | 1.961 | 0.323 | 0.227 | 0.106 | 0.000 | 0.000 | 0.000 | 26.445 | (0.536) |
| 2002 | 0.924 | 19.340 | 0.220 | 1.379 | 1.145 | 0.561 | 0.318 | 0.111 | 0.253 | 0.025 | 0.049 | 0.012 | 11.158 | (0.390) |
| 2003 | 0.000 | 17.109 | 5.496 | 0.439 | 1.938 | 0.937 | 0.221 | 0.074 | 0.014 | 0.025 | 0.000 | 0.014 | 10.984 | (0.219) |
| 2004 | 116.135 | 8.927 | 1.882 | 2.627 | 0.361 | 1.083 | 0.455 | 0.076 | 0.029 | 0.000 | 0.014 | 0.000 | 8.147 | (0.278) |
| 2005 | 179.479 | 5.524 | 4.141 | 0.795 | 1.955 | 0.263 | 0.663 | 0.243 | 0.094 | 0.105 | 0.000 | 0.000 | 10.402 | (0.197) |
| 2006 | 0.000 | 9.992 | 7.139 | 3.930 | 0.525 | 1.532 | 0.109 | 0.057 | 0.000 | 0.017 | 0.028 | 0.000 | 9.177 | (0.181) |
| 2007 | 49.323 | 3.776 | 3.078 | 2.303 | 2.163 | 0.343 | 0.519 | 0.025 | 0.046 | 0.000 | 0.000 | 0.000 | 8.430 | (0.251) |
| 2008 | 456.954 | 7.275 | 10.336 | 3.242 | 2.287 | 1.695 | 0.155 | 0.155 | 0.000 | 0.000 | 0.000 | 0.000 | 12.229 | (0.215) |
| 2009 | 466.098 | 8.907 | 2.350 | 1.654 | 1.045 | 0.348 | 0.112 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 4.489 | (0.187) |
| 2010 | 1.165 | 2.415 | 1.393 | 1.423 | 0.819 | 0.678 | 0.129 | 0.000 | 0.000 | 0.000 | 0.052 | 0.000 | 5.645 | (0.456) |
| 2011 | 55.378 | 0.326 | 1.001 | 0.621 | 0.933 | 0.558 | 0.139 | 0.086 | 0.021 | 0.000 | 0.000 | 0.000 | 4.519 | (0.424) |
| 2012 | 6.239 | 3.368 | 0.671 | 0.446 | 0.304 | 0.415 | 0.021 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 2.276 | (0.401) |

Table A9: Percentage of mature females for each age for the Gulf of Maine cod stock (Michael Palmer, pers. commn).

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | $11+$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0.025 | 0.092 | 0.287 | 0.613 | 0.862 | 0.961 | 0.990 | 0.997 | 0.999 | 1.000 | 1.000 | 1.000 |

Table A10: Length frequency distributions for NEFSC offshore spring and autumn research vessel bottom trawl surveys in the Gulf of Maine conducted by the Bigelow (Michael Palmer, pers. commn).

| Year | NEFSC spring survey |  |  |  | NEFSC call survey |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2009 | 2010 | 2011 | 2012 | 2009 | 2010 | 2011 |
| $-25 \mathrm{~cm}$ | 0.5634 | 0.4138 | 0.0286 | 0.4159 | 0.3967 | 0.0605 | 0.2489 |
| 26 cm | 0.0496 | 0.0189 | 0.0000 | 0.0113 | 0.1330 | 0.0283 | 0.0850 |
| 27 cm | 0.0425 | 0.0756 | 0.0000 | 0.0057 | 0.1731 | 0.0142 | 0.0283 |
| 28 cm | 0.0638 | 0.1501 | 0.0000 | 0.0170 | 0.1251 | 0.0000 | 0.0142 |
| 29 cm | 0.0553 | 0.0945 | 0.0000 | 0.0057 | 0.1330 | 0.0283 | 0.0000 |
| 30 cm | 0.0283 | 0.1134 | 0.0000 | 0.0113 | 0.2330 | 0.0567 | 0.0142 |
| 31 cm | 0.0544 | 0.1397 | 0.0486 | 0.0057 | 0.2834 | 0.0283 | 0.0136 |
| 32 cm | 0.0142 | 0.0945 | 0.0113 | 0.0337 | 0.4412 | 0.1134 | 0.0377 |
| 33 cm | 0.0213 | 0.0935 | 0.0113 | 0.0113 | 0.5951 | 0.0425 | 0.0142 |
| 34 cm | 0.0958 | 0.1572 | 0.0000 | 0.0404 | 0.9068 | 0.0567 | 0.0506 |
| 35 cm | 0.0743 | 0.1407 | 0.0227 | 0.0170 | 0.7147 | 0.0142 | 0.0283 |
| 36 cm | 0.0887 | 0.1029 | 0.0000 | 0.0582 | 0.6659 | 0.0394 | 0.0142 |
| 37 cm | 0.0695 | 0.0853 | 0.0340 | 0.0283 | 0.5014 | 0.0278 | 0.0000 |
| 38 cm | 0.1204 | 0.0945 | 0.0113 | 0.0207 | 0.6155 | 0.0425 | 0.0000 |
| 39 cm | 0.1748 | 0.0567 | 0.0000 | 0.0659 | 0.3400 | 0.0142 | 0.0543 |
| 40 cm | 0.1559 | 0.0283 | 0.0431 | 0.0548 | 0.2516 | 0.0242 | 0.0283 |
| 41 cm | 0.1629 | 0.0283 | 0.0227 | 0.0453 | 0.2888 | 0.0425 | 0.0364 |
| 42 cm | 0.1771 | 0.0276 | 0.0599 | 0.0639 | 0.3103 | 0.0850 | 0.0380 |
| 43 cm | 0.1565 | 0.0378 | 0.0793 | 0.0564 | 0.2834 | 0.0425 | 0.0401 |
| 44 cm | 0.2125 | 0.0378 | 0.0907 | 0.0860 | 0.3400 | 0.0283 | 0.0222 |
| 45 cm | 0.2287 | 0.0378 | 0.0340 | 0.0746 | 0.3280 | 0.0384 | 0.0640 |
| 46 cm | 0.2196 | 0.0283 | 0.0214 | 0.0380 | 0.2776 | 0.0283 | 0.0567 |
| 47 cm | 0.1913 | 0.0189 | 0.0340 | 0.0434 | 0.1901 | 0.0242 | 0.0000 |
| 48 cm | 0.2371 | 0.0095 | 0.0340 | 0.0283 | 0.2692 | 0.0425 | 0.0364 |
| 49 cm | 0.2017 | 0.0283 | 0.0214 | 0.0394 | 0.2125 | 0.0343 | 0.0623 |
| 50 cm | 0.2240 | 0.0647 | 0.0793 | 0.0510 | 0.1700 | 0.0283 | 0.0647 |
| 51 cm | 0.1845 | 0.0095 | 0.0441 | 0.0264 | 0.0951 | 0.0394 | 0.0364 |
| 52 cm | 0.3077 | 0.0953 | 0.0768 | 0.0944 | 0.1199 | 0.0778 | 0.0383 |
| 53 cm | 0.2122 | 0.0000 | 0.0680 | 0.0394 | 0.0992 | 0.0142 | 0.0425 |
| 54 cm | 0.2517 | 0.1236 | 0.0826 | 0.0567 | 0.0809 | 0.0425 | 0.0506 |
| 55 cm | 0.3245 | 0.0322 | 0.0340 | 0.0453 | 0.0708 | 0.0384 | 0.0330 |
| 56 cm | 0.1946 | 0.0646 | 0.0700 | 0.0491 | 0.0000 | 0.0425 | 0.0599 |
| 57 cm | 0.2046 | 0.0276 | 0.0441 | 0.0377 | 0.0492 | 0.0567 | 0.0000 |
| 58 cm | 0.2358 | 0.0370 | 0.0582 | 0.0644 | 0.0384 | 0.0242 | 0.0000 |
| 59 cm | 0.2347 | 0.0455 | 0.0000 | 0.0519 | 0.0686 | 0.0257 | 0.0161 |
| 60 cm | 0.2537 | 0.0444 | 0.0227 | 0.0349 | 0.0425 | 0.0142 | 0.0383 |
| 61 cm | 0.2547 | 0.0000 | 0.0803 | 0.0511 | 0.0447 | 0.0242 | 0.0588 |
| 62 cm | 0.1164 | 0.0081 | 0.0214 | 0.0227 | 0.0307 | 0.0401 | 0.0383 |
| 63 cm | 0.2003 | 0.0180 | 0.0113 | 0.0154 | 0.0142 | 0.0236 | 0.0222 |
| 64 cm | 0.1725 | 0.0227 | 0.0214 | 0.0406 | 0.0874 | 0.0142 | 0.1130 |
| 65 cm | 0.0341 | 0.0000 | 0.0302 | 0.0227 | 0.0142 | 0.0336 | 0.0222 |
| 66 cm | 0.0611 | 0.0189 | 0.0467 | 0.0170 | 0.0667 | 0.0401 | 0.0303 |
| 67 cm | 0.0850 | 0.0544 | 0.0101 | 0.0321 | 0.0201 | 0.0242 | 0.0303 |
| 68 cm | 0.0414 | 0.0276 | 0.0227 | 0.0154 | 0.0196 | 0.0848 | 0.0401 |
| 69 cm | 0.0370 | 0.0000 | 0.0372 | 0.0154 | 0.0142 | 0.0000 | 0.0481 |
| 70 cm | 0.0923 | 0.0632 | 0.0259 | 0.0170 | 0.0283 | 0.0201 | 0.0581 |
| 71 cm | 0.0387 | 0.0161 | 0.0101 | 0.0097 | 0.0142 | 0.0353 | 0.0283 |
| 72 cm | 0.0287 | 0.0719 | 0.0322 | 0.0057 | 0.0696 | 0.0236 | 0.0259 |
| 73 cm | 0.0259 | 0.0322 | 0.0349 | 0.0000 | 0.0350 | 0.0310 | 0.0420 |
| 74 cm | 0.0128 | 0.0423 | 0.0113 | 0.0097 | 0.0108 | 0.0142 | 0.0081 |
| 75 cm | 0.0199 | 0.0000 | 0.0101 | 0.0000 | 0.0101 | 0.0360 | 0.0081 |
| 76 cm | 0.0704 | 0.0081 | 0.0000 | 0.0000 | 0.0283 | 0.0840 | 0.0222 |
| 77 cm | 0.0058 | 0.0161 | 0.0000 | 0.0196 | 0.0142 | 0.0000 | 0.0222 |
| 78 cm | 0.0115 | 0.0181 | 0.0101 | 0.0057 | 0.0000 | 0.0201 | 0.0000 |
| 79 cm | 0.0058 | 0.0563 | 0.0227 | 0.0057 | 0.0283 | 0.0283 | 0.0108 |
| 80 cm | 0.0270 | 0.0181 | 0.0101 | 0.0040 | 0.0000 | 0.0101 | 0.0000 |
| 81 cm | 0.0270 | 0.0343 | 0.0000 | 0.0054 | 0.0000 | 0.0000 | 0.0540 |
| 82 cm | 0.0000 | 0.0000 | 0.0101 | 0.0000 | 0.0101 | 0.0000 | 0.0222 |
| 83 cm | 0.0283 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0161 |
| 84 cm | 0.0115 | 0.0489 | 0.0000 | 0.0000 | 0.0000 | 0.0454 | 0.0000 |
| 85 cm | 0.0115 | 0.0081 | 0.0259 | 0.0000 | 0.0000 | 0.0236 | 0.0081 |
| 86 cm | 0.0071 | 0.0262 | 0.0101 | 0.0000 | 0.0000 | 0.0101 | 0.0000 |
| 87 cm | 0.0186 | 0.0081 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| 88 cm | 0.0058 | 0.0000 | 0.0000 | 0.0057 | 0.0142 | 0.0101 | 0.0142 |
| 89 cm | 0.0058 | 0.0161 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| 90 cm | 0.0071 | 0.0081 | 0.0113 | 0.0000 | 0.0101 | 0.0000 | 0.0000 |
| 91 cm | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| 92 cm | 0.0058 | 0.0000 | 0.0000 | 0.0057 | 0.0000 | 0.0000 | 0.0142 |
| 93 cm | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0101 | 0.0000 | 0.0081 |
| 94 cm | 0.0058 | 0.0081 | 0.0340 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| 95 cm | 0.0058 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0081 |
| 96 cm | 0.0128 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| 97 cm | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0142 | 0.0000 | 0.0000 |
| 98 cm | 0.0000 | 0.0081 | 0.0000 | 0.0057 | 0.0000 | 0.0000 | 0.0081 |
| 99 cm | 0.0000 | 0.0175 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| $100 \mathrm{~cm}+$ | 0.0115 | 0.0403 | 0.0214 | 0.0000 | 0.0000 | 0.0101 | 0.0081 |

Table A11a: Age-length keys for NEFSC offshore spring research vessel bottom trawl surveys in the Gulf of Maine conducted by the Bigelow (Michael Palmer, pers. commn).


Table A11b: Age-length keys for NEFSC offshore spring research vessel bottom trawl surveys in the Gulf of Maine conducted by the Bigelow (Michael Palmer, pers. commn).


Table A12: Age-length keys for NEFSC offshore autumn research vessel bottom trawl surveys in the Gulf of Maine conducted by the Bigelow (Michael Palmer, pers. commn).

|  | NEFSC Autumn, 2009 |  |  |  |  |  | Age |  |  |  |  | NEFSC Autumn, 2010 Age |  |  |  |  |  |  |  |  |  |  | NEFSC Autumn, 2011 |  |  |  |  |  | Age |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Length | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |  | 1011 |  | $0 \quad 1$ | 12 | 3 | 4 | 5 | 6 | 7 | 8 |  | $1011+$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |  | 1011 |
| $\leq 25$ | 9 | 11 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 2 | 21 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 15 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 |
| 26 | 0 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 2$ | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 |
| 27 | 0 | 4 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | $0 \quad 1$ | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 28 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 29 | 0 | 4 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 | 0 | $0 \quad 2$ | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 30 | 0 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 3$ | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 31 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 05 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 |
| 32 | 0 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 05 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 33 | 0 | 2 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | $0 \quad 1$ | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 |
| 34 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | $0 \quad 2$ | 22 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 |
| 35 | 0 | 2 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 |
| 36 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 | 0 | $0 \quad 2$ | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 37 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | $0 \quad 1$ | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 38 | 0 | 2 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | $0 \quad 0$ | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 39 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 1$ | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 40 | 0 | 1 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | $0 \quad 0$ | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ |
| 41 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 42 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 43 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ |
| 44 |  | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ |
| 45 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 2 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 46 | 0 | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 | 0 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 3 | $0$ | $0$ | 0 | $0$ | 0 | 0 |
| 47 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 00 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 48 |  | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 1 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 49 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 3 | 0 | 0 | 0 | 0 | 0 | 0 |
| 50 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 | 0 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 1 | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 0 0 |
| 51 | 0 | 0 | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 |  | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 52 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 1 | 1 | 3 | 0 | 0 | 0 | 0 | 0 | 0 |
| 53 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 | 0 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ |
| 54 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 1 | 2 | 0 | 1 | 0 | 0 | 0 | 0 |
| 55 |  | 0 | 2 | 1 | 2 | 0 | 0 | 0 | 0 |  | 0 |  |  | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ |
| 56 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 57 | 0 | 0 | 1 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 | 0 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0$ | 1 | 0 | 0 | $0$ | $0$ | $0$ | $0$ | 0 | 0 0 |
| 58 | 0 | 0 | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |  | 00 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 59 |  | 0 | 0 | 4 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |
| 60 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | $1$ | 0 | 0 | 0 | 0 0 |
| 61 |  | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 | 00 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 0 |
| 62 |  | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 00 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |
| 63 |  | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 64 | 0 | 0 | 1 | 1 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 6 | 1 | 0 | 0 | 0 | 0 | 00 |
| 65 |  | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |  | $0 \quad 0$ | 0 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 0 |
| 66 |  | 0 | 0 | 2 | 2 | 0 | 0 | 0 | 0 |  |  |  |  | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 | 0 | 0 | 0 | 0 |  | 0 | 1 | 0 | 0 | 0 | 0 0 |
| 67 |  | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 |  | $0 \quad 0$ | 0 | 1 | 3 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 |
| 68 |  | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 | 0 0 | 00 | 0 | 3 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 0 |
| 69 |  | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| 70 |  | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 3 | 2 | 0 | 0 | 0 | 0 | 00 |
| 71 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 00 |
| 72 | 0 | 0 | 0 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 1 | 0 | 0 | 0 | 0 | 0 0 |
| 73 |  | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | - | 0 | 2 | 1 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 |  | 2 | 1 | 0 | 0 | 0 | 00 |
| 74 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | - | 0 | 0 0 | 0 0 | $0 \quad 0$ | 00 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |  | 0 0 |
| 75 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |  | 0 | 0 | 00 | 0 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 | 0 | 0 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 0 |
| 76 |  | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 |  |  | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 |  | 2 | 0 | 0 | 0 |  | 0 0 |
| 77 |  | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |  | 0 |  |  | 0 | 0 | 0 | 0 | 0 | 0 |  |  | $0 \quad 0$ | 0 | 0 | 0 | 0 |  | 2 | 0 | 0 | 0 |  | 00 |
| 78 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 |
| 79 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 0 | 2 | 0 | - | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 0 |
| 80 |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  |  | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 |  | 0 | 0 | 0 | 0 |  | 00 |
| 81 |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |  | 0 | 1 | 1 | 0 | 0 |  | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 |  | 0 0 |
| 82 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 00 |
| 83 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 |  | - |  | 0 | 0 | 0 |  | 0 | 0 | $0 \quad 0$ | 0 | 0 |  | 0 | 0 | 1 | 1 | 0 | 0 | 0 |  |
| 84 |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  |  |  |  |  | 0 |  | 0 |  | $0 \quad 0$ | 0 | 0 |  | 0 |  | 0 | 0 | 0 | 0 | 0 | 00 |
| 85 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 | $0 \quad 0$ | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |  | 0 0 |
| 86 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 | 0 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 |
| 87 |  |  | - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 0 | 0 |  | 0 | 0 | 0 | 0 | 0 | 0 0 |
| 88 |  |  | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 0 |
| 89 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 90 |  |  | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 0 |  | 00 | 0 |  | 0 | 0 |  |  | 0 | $0 \quad 0$ |  | 0 |  | 0 |  | 0 | 0 | 0 | 0 | 0 | 00 |
| 91 |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 |  | 0 |  | 0 | 0 | 0 | 0 | 0 | 00 |
| 92 |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| 93 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 0 | 0 0 | 0 |  | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 00 |
| 94 |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  |  |  |  | 0 | 0 | 0 | 0 |  | $0 \quad 0$ | 0 |  |  | 0 |  | 0 | 0 | 0 | 0 | 0 | 0 0 |
| 95 |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 0 |  | 0 | 0 |  | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 00 |
| 96 |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97 |  |  | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |  |  | 00 |  |  |  |  |  |  | 0 | 0 |  |  |  |  |  |  | 0 | 0 | 0 | 0 | $0 \quad 0$ |
| 98 |  |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 |  |  | 0 |  |  |  |  |  | 0 | 0 | 00 |  | 0 |  | 0 |  | 0 | 0 | 1 | 0 | 0 | $0 \quad 0$ |
| 99 |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| >100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $0 \quad 0$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 00 |

## Appendix B - The Statistical Catch-at-Age Model

The text following sets out the equations and other general specifications of the SCAA followed by details of the contributions to the (penalised) log-likelihood function from the different sources of data available and assumptions concerning the stock-recruitment relationship. Quasi-Newton minimization is then applied to minimize the total negative log-likelihood function to estimate parameter values (the package AD Model Builder ${ }^{\text {TM }}$, Otter Research, Ltd is used for this purpose).

For the convenience of readers, details which are changed or newly added relative to the specifications used for the analyses reported in Butterworth and Rademeyer (2012) are shown highlighted.

## B.1. Population dynamics

## B.1.1 Numbers-at-age

The resource dynamics are modelled by the following set of population dynamics equations:
$N_{y+1,0}=R_{y+1}$
$N_{y+1, a+1}=N_{y, a} e^{-Z_{y, a}} \quad$ for $0 \leq a \leq m-2$
$N_{y+1, m}=N_{y, m-1} e^{-Z_{y, m-1}}+N_{y, m} e^{-Z_{y, m}}$
where
$N_{y, a}$ is the number of fish of age $a$ at the start of year $y$,
$R_{y} \quad$ is the recruitment (number of 0-year-old fish) at the start of year $y$,
$m \quad$ is the maximum age considered (taken to be a plus-group).
$Z_{y, a}=F_{y} S_{y, a}+M_{a}$ is the total mortality in year $y$ on fish of age $a$, where
$M_{a} \quad$ denotes the natural mortality rate for fish of age $a$,
$F_{y} \quad$ is the fishing mortality of a fully selected age class in year $y$, and
$S_{y, a}$ is the commercial selectivity at age $a$ for year $y$.

## B.1.2. Recruitment

The number of recruits (i.e. new 0 -year old) at the start of year $y$ is assumed to be related to the spawning stock size (i.e. the biomass of mature fish) by either a modified Ricker or a standard or adjusted Beverton-Holt stock-recruitment relationship, allowing for annual fluctuation about the deterministic relationship.

For the modified Ricker:
$R_{y}=\alpha B_{y}^{\mathrm{sp}} \exp \left[-\beta\left(B_{y}^{\mathrm{sp}}\right)^{\gamma}\right] e^{\left(\varsigma_{y}-\left(\sigma_{\mathrm{R}}\right)^{2} / 2\right)}$
for the (standard) Beverton-Holt:
$R_{y}=\frac{\alpha B_{y}^{s p}}{\beta+B_{y}^{s p}} e^{\left(\varsigma_{y}-\left(\sigma_{\mathrm{R}}\right)^{2} / 2\right)}$
and for the adjusted Beverton-Holt:
$R_{y}=\left\{\begin{array}{cc}\frac{\alpha B_{y}^{s p}}{\beta+B_{y}^{s p}} & \text { if } B_{y}^{s p} \leq B^{*} \\ \frac{\alpha B^{*}}{\beta+B^{*}} \exp \left(-\left(\frac{B_{y}^{s p}-B^{*}}{\sigma_{N}}\right)^{2}\right) & \text { if } B_{y}^{s p}>B^{*}\end{array}\right.$
where
$\alpha, \beta, \gamma, B^{*}$ and $\sigma_{N}$ are spawning biomass-recruitment relationship parameters,
$\varsigma_{y} \quad$ reflects fluctuation about the expected recruitment for year $y$, which is assumed to be normally distributed with standard deviation $\sigma_{\mathrm{R}}$ (which is input in the applications considered here); these residuals are treated as estimable parameters in the model fitting process.
$B_{y}^{\mathrm{sp}}$ is the spawning biomass at the start of year $y$, computed as:
$B_{y}^{\mathrm{sp}}=\sum_{a=0}^{m} f_{a} w_{y, a}^{\mathrm{str}} N_{y, a} e^{-M_{a} / 4}$
because spawning for the cod stock under consideration is taken to occur three months after the start of the year and some mortality has therefore occurred,
where
$w_{y, a}^{\text {strt }}$ is the mass of fish of age $a$ during spawning, and
$f_{a}$ is the proportion of fish of age $a$ that are mature.
Section B.2.6 details the procedure adopted when recruitment is not assumed to be related to spawning biomass, at least internal to the assessment.

## B.1.3. Total catch and catches-at-age

The total catch by mass in year $y$ is given by:

$$
\begin{equation*}
C_{y}=\sum_{a=0}^{m} w_{y, a}^{\mathrm{mid}} C_{y, a}=\sum_{a=0}^{m} w_{y, a}^{\mathrm{mid}} N_{y, a} S_{y, a} F_{y}\left(1-e^{-Z_{y, a}}\right) / Z_{y, a} \tag{B8}
\end{equation*}
$$

where
$w_{y, a}^{\text {mid }}$ denotes the mass of fish of age $a$ landed in year $y$,
$C_{y, a}$ is the catch-at-age, i.e. the number of fish of age $a$, caught in year $y$,

The model estimate of survey biomass is computed as:

$$
\begin{equation*}
B_{y}^{\mathrm{surv}}=\sum_{a=0}^{m} w_{y, a}^{\mathrm{surv}} S_{a}^{\text {surv }} N_{y, a} e^{-Z_{y, a} T^{\text {surv }} / 12} \tag{B9}
\end{equation*}
$$

where
$S_{a}^{\text {surv }}$ is the survey selectivity for age $a$, which is taken to be year-independent.
$T^{\text {surv }}$ is the season in which the survey is taking place ( $T^{\text {surv }}=1$ for spring surveys and $T^{\text {surv }}=3$ for fall surveys), and

```
w
```


## B.1.4. Initial conditions

For the first year ( $y_{0}$ ) considered in the model, the numbers-at-age are estimated directly for ages 0 to $a^{\text {est }}$, with a parameter $\phi$ mimicking recent average fishing mortality for ages above $a^{\text {est }}$, i.e.

$$
\begin{equation*}
N_{y_{0}, a}=N_{\text {start }, a} \quad \text { for } 0 \leq a \leq a^{e s t} \tag{B10}
\end{equation*}
$$

and

$$
\begin{align*}
& N_{\text {start }, a}=N_{\text {start }, a-1} e^{-M_{a-1}\left(1-\phi S_{a-1}\right)} \quad \text { for } a^{e s t}<a \leq m-1  \tag{B11}\\
& N_{\text {start }, m}=N_{\text {start }, m-1} e^{-M_{m-1}}\left(1-\phi S_{m-1}\right) /\left(1-e^{-M_{m}}\left(1-\phi S_{m}\right)\right) \tag{B12}
\end{align*}
$$

## B.2. The (penalised) likelihood function

The model can be fit to (a subset of) CPUE and survey abundance indices, and commercial and survey catch-at-age and catch-at-length data to estimate model parameters (which may include residuals about the stock-recruitment function, facilitated through the incorporation of a penalty function described below). Contributions by each of these to the negative of the (penalised) loglikelihood ( $-\ln L$ ) are as follows. Details related to fitting to CPUE series are not included below, as such series are not considered in the analyses of this paper.

## B2.1. Survey abundance data

The likelihood is calculated assuming that a survey biomass index is lognormally distributed about its expected value:
$I_{y}^{\text {surv }}=\hat{I}_{y}^{\text {surv }} \exp \left(\varepsilon_{y}^{\text {surv }}\right) \quad$ or $\quad \varepsilon_{y}^{\text {surv }}=\ln \left(I_{y}^{\text {surv }}\right)-\ln \left(\hat{I}_{y}^{\text {surv }}\right)$
where
$I_{y}^{\text {surv }}$ is the survey biomass index for survey surv in year $y$,
$\hat{I}_{y}^{\text {surv }}=\hat{q}^{\text {surv }} \hat{B}_{y}^{\text {surv }}$ is the corresponding model estimate, where
$\hat{q}^{\text {surv }}$ is the constant of proportionality (catchability) for the survey biomass series surv, and
$\varepsilon_{y}^{s u r v} \quad$ from $N\left(0,\left(\sigma_{y}^{s u r v}\right)^{2}\right)$.

The contribution of the survey biomass data to the negative of the log-likelihood function (after removal of constants) is then given by:
$-\ell \mathrm{n} L^{\text {survey }}=\sum_{\text {surv }} \sum_{y}\left\{\ell \operatorname{n}\left(\sqrt{\left(\sigma_{y}^{\text {surv }}\right)^{2}+\left(\sigma_{\text {Add }}^{\text {surv }}\right)^{2}}\right)+\left(\varepsilon_{y}^{\text {surv }}\right)^{2} /\left[2\left(\left(\sigma_{y}^{\text {surv }}\right)^{2}+\left(\sigma_{\text {Add }}^{\text {surv }}\right)^{2}\right)\right]\right\}$
where
$\sigma_{y}^{\text {surv }}$ is the standard deviation of the residuals for the logarithm of index $i$ in year $y$ (which is input), and
$\sigma_{\text {Add }}^{\text {surv }}$ is the square root of the additional variance for survey biomass series surv, which is estimated in the model fitting procedure, with an upper bound of 0.5 .

The catchability coefficient $q^{\text {surv }}$ for survey biomass index surv is estimated by its maximum likelihood value:

$$
\begin{equation*}
\ln \hat{q}^{\text {surv }}=1 / n_{\text {surv }} \sum_{y}\left(\ln I_{y}^{\text {surv }}-\ln \hat{B}_{y}^{\text {surv }}\right) \tag{B15}
\end{equation*}
$$

## B.2.3. Commercial catches-at-age

The contribution of the catch-at-age data to the negative of the log-likelihood function under the assumption of an "adjusted" lognormal error distribution is given by:
$-\ell \mathrm{n} L^{\mathrm{CAA}}=\sum_{y} \sum_{a}\left[\ln \left(\sigma_{a}^{c o m} / \sqrt{p_{y, a}}\right)+p_{y, a}\left(\ln p_{y, a}-\ln \hat{p}_{y, a}\right)^{2} / 2\left(\sigma_{a}^{c o m}\right)^{2}\right]$
where
$p_{y, a}=C_{y, a} / \sum_{a^{\prime}} C_{y, a^{\prime}}$ is the observed proportion of fish caught in year $y$ that are of age $a$,
$\hat{p}_{y, a}=\hat{C}_{y, a} / \sum_{a^{\prime}} \hat{C}_{y, a^{\prime}}$ is the model-predicted proportion of fish caught in year $y$ that are of age $a$,
where
$\hat{C}_{y, a}=N_{y, a} S_{y, a} F_{y}\left(1-e^{-Z_{y, a}}\right) / Z_{y, a}$
and
$\sigma_{a}^{c o m}$ is the standard deviation associated with the catch-at-age data, which is estimated in the fitting procedure by:
$\hat{\boldsymbol{\sigma}}_{a}^{\text {com }}=\sqrt{\sum_{y} p_{y, a}\left(\ln p_{y, a}-\ln \hat{p}_{y, a}\right)^{2} / \sum_{y} 1}$

Commercial catches-at-age are incorporated in the likelihood function using equation (B16), for which the summation over age $a$ is taken from age $a_{\text {minus }}$ (considered as a minus group) to $a_{\text {plus }}$ (a plus group).

In application of this approach ages are often aggregated to avoid values of $p_{y, a}$ or $\hat{p}_{y, a}$ that are too small in the interests of estimation robustness. In this paper individual ages have been maintained between the selected minus and plus-groups to provide potential discrimination of different shapes for the selectivity functions at older ages in particular. This however does mean that there are
certain cells for which $p_{y, a}$ values are zero. That does not cause any problems because the limit of $p_{y, a}\left(\ln p_{y, a}\right)^{2}$ as $p_{y, a} \rightarrow 0$ is 0 , so these terms can be omitted from the summation in equation B16. One could argue that they should nevertheless be included in the summations in equation B18, but exclusion seems more appropriate as the structural zero contributions then included would seem likely to bias the estimates of $\hat{\sigma}_{a}^{\text {com }}$ downwards.

In addition to this "adjusted" lognormal error distribution, some computations use an alternative "sqrt(p)" formulation, for which equation B19 is modified to:

$$
\begin{equation*}
-\ell \mathrm{n} L^{\mathrm{CAA}}=\sum_{y} \sum_{a}\left[\ln \left(\sigma_{a}^{c o m}\right)+\left(\sqrt{p_{y, a}}-\sqrt{\hat{p}_{y, a}}\right)^{2} / 2\left(\sigma_{a}^{\mathrm{com}}\right)^{2}\right] \tag{B19}
\end{equation*}
$$

and equation B21 is adjusted similarly:

$$
\begin{equation*}
\hat{\sigma}_{a}^{c o m}=\sqrt{\sum_{y}\left(\sqrt{p_{y, a}}-\sqrt{\hat{p}_{y, a}}\right)^{2} / \sum_{y} 1} \tag{B20}
\end{equation*}
$$

This formulation mimics a multinomial form for the error distribution by forcing a near-equivalent variance-mean relationship for the error distributions.

## B.2.4. Survey catches-at-age

The survey catches-at-age are incorporated into the negative of the log-likelihood in an analogous manner to the commercial catches-at-age, assuming an "adjusted" lognormal error distribution (equation (B19)) where:
$p_{y, a}^{\text {surv }}=C_{y, a}^{\text {surv }} / \sum_{a^{\prime}} C_{y, a^{\prime}}^{\text {surv }}$ is the observed proportion of fish of age $a$ in year $y$ for survey surv,
$\hat{p}_{y, a}^{\text {surv }} \quad$ is the expected proportion of fish of age $a$ in year $y$ in the survey surv, given by:
$\hat{p}_{y, a}^{\text {surv }}=S_{a}^{\text {surv }} N_{y, a} e^{-Z_{y, a} T^{\text {surv }} / 12} / \sum_{a^{\prime}=0}^{m} S_{a^{\prime}}^{\text {surv }} N_{y, a^{\prime}} e^{-Z_{y, a} T^{\text {surv }} / 12} \quad$.

## B.2.5. Survey catches-at-length

In some runs, catches-at-length are also incorporated in the likelihood function. These data are incorporated in the similar manner as the catches-at-age. When the model is fit to catches-at-length, the predicted catches-at-age are converted to catches-at-length:

$$
\begin{equation*}
\hat{p}_{y, l}^{\text {surv }}=\sum_{a} \hat{p}_{y, a}^{\text {surv }} A_{a, l} \tag{B22}
\end{equation*}
$$

where $A_{a, l}$ is the proportion of fish of age $a$ that fall in the length group I (i.e., $\sum_{l} A_{a, l}=1$ for all ages).

The matrix $A_{a, l}$ is calculated under the assumption that length-at-age is normally distributed about a mean given by the von Bertalanffy equation, i.e.:
$L_{a} \sim N\left[L_{\infty}\left(1-e^{-\kappa\left(a-t_{o}\right)}\right) ; \theta_{a}^{2}\right]$

## where

$\theta_{a}$ is the standard deviation of mid-year length-at-age a, which is modelled to be proportional to the expected length-at-age $a$, i.e.:

$$
\begin{equation*}
\theta_{a}=\beta\left[L_{\infty}\left(1-e^{-\kappa\left(a+0.5-t_{o}\right)}\right)\right]^{\gamma} \tag{B24}
\end{equation*}
$$

with $\beta$ an estimable parameter and $\gamma=0.5$ (a value which was found to lead to reasonable fits to the data).

$$
\begin{aligned}
& L_{\infty}=150.93 \mathrm{~cm}, \\
& \kappa=0.11 \mathrm{yr}^{-1}, \\
& t_{o}=0.13 \mathrm{yr},
\end{aligned}
$$

The following term is then added to the negative log-likelihood:

$$
\begin{equation*}
-\ln L^{\mathrm{CAL}}=w_{l e n} \sum_{\text {surv }} \sum_{y} \sum_{l}\left[\ln \left(\sigma_{\text {len }}^{\text {surv }} / \sqrt{p_{y, l}^{s u r v}}\right)+p_{y, l}^{\operatorname{surv}}\left(\ln p_{y, l}^{s u r v}-\ln \hat{p}_{y, l}^{s u r v}\right)^{2} / 2\left(\sigma_{\text {len }}^{s u r v}\right)^{2}\right] \tag{B25}
\end{equation*}
$$

The $w_{\text {len }}$ weighting factor may be set to a value less than 1 to downweight the contribution of the catch-at-length data (which tend to be positively correlated between adjacent length groups because the length distributions for adjacent ages overlap) to the overall negative log-likelihood compared to that of the CPUE data. The value used for $w_{\text {len }}$ is 0.1 , being roughly equivalent to the ratio of the number to length groups to the number of age groups considered. Instances of observed proportions of zero are dealt with in the same manner as for catches-at-age, as is the alternative "sqrt(p)" error distribution formulation.

## B.2.6. Stock-recruitment function residuals

The stock-recruitment residuals are assumed to be lognormally distributed and serially correlated. Thus, the contribution of the recruitment residuals to the negative of the (now penalised) loglikelihood function is given by:

$$
\begin{equation*}
-\ell n L^{\mathrm{pen}}=\sum_{y=y_{1}+1}^{y_{2}}\left[\varepsilon_{y}^{2} / 2 \sigma_{\mathrm{R}}^{2}\right] \tag{B26}
\end{equation*}
$$

where
$\varepsilon_{y} \quad$ from $N\left(0,\left(\sigma_{R}\right)^{2}\right)$,
$\sigma_{\mathrm{R}} \quad$ is the standard deviation of the log-residuals, which is input.

In the analyses reported in this paper, unless otherwise stated, this "stock-recruitment" term is included for the last two years only, simply to stabilise these estimates which are not well determined by the other data. The $\varepsilon_{y}$ are calculated as the deviations from the mean log recruitment for the ten preceding years, i.e. recruitment estimates for 2010 and 2011 are shrunk towards the geometric mean recruitment over the preceding decade.

## B.2.7 Incorporation of Bigelow vs Albatross survey calibration

The survey data provided are adjusted for the years 2009 to 2012 which were obtained from Bigelow surveys have been adjusted to "Albatross equivalents" through use of calibration factors estimated independently from paired tow experiments (Miller et al., 2010). However the survey data before and after the switch of vessels also provide information on the calibration factors because they sample the same cohorts. Incorporation of this information in assessments in this paper has been effected by treating the estimates, with their variance-covariance matrix, as a form of "jointprior" which is effectively updated in the penalised likelihood estimation when fitting the model. The process is as follows.

First Bigelow length frequency distributions are converted to Albatross equivalent length frequency distributions:
$C_{y, l}^{\operatorname{sur}, A}=C_{y, l}^{\operatorname{sur}, ~ B} / F_{l}$
where
$C_{y, l}^{s u r v, B}$ is the measured catch-at-length for the Bigelow in year $y$ for survey surv,
$C_{y, l}^{s u r v, A}$ is the inferred catch-at-length for the Albatross equivalent in year $y$ for survey surv,
$F_{l}$ is the length-based calibration factor (Bigelow/Albatross),

The Albatross equivalent length distributions are then converted to age distributions:

$$
\begin{equation*}
C_{y, a}^{s u r v, A}=\sum_{l} C_{y, l}^{\operatorname{sur}, A} A L K_{y, a, l}^{\operatorname{surv}} \tag{B28}
\end{equation*}
$$

## where

$A L K_{y, a, l}^{s u r v}$ is the age-length key (proportion of fish of length / that have age $a$ ) in year $y$ for survey surv.

Biomass indices are then obtained from the Albatross equivalent age distributions as follows:

$$
\begin{equation*}
I_{y}^{s u r v, A}=\sum_{a} C_{y, a}^{s u r v, A} w_{y, a}^{s u r v} \tag{B29}
\end{equation*}
$$

## where

```
wy,a
```

The calibration factor has four parameters, three of which are estimable and the other input: $X_{1}=20 \mathrm{~cm}, X_{2}, F_{1}$ and $F_{2}$
$F_{l}=\left\{\begin{array}{cc}\frac{\left(F_{2}-F_{1}\right)}{\left(X_{2}-X_{1}\right)} l+\frac{\left(F_{1} X_{2}-F_{2} X_{1}\right)}{\left(X_{2}-X_{1}\right)} & \text { if } l \leq X_{1} \\ F_{2} & \text { if } l \geq l<X_{2}\end{array}\right.$

The following contribution is therefore added to the negative log-likelihood in the assessment:
$-\ln L^{\text {calib }}=\frac{1}{2} \ln |\boldsymbol{\Sigma}|+\frac{1}{2}(\mathbf{x}-\boldsymbol{\mu})^{\mathrm{T}} \boldsymbol{\Sigma}^{-1}(\mathbf{x}-\boldsymbol{\mu})$
where the parameters $X_{2}, F_{1}$ and $F_{2}$ are components of the vector $\boldsymbol{x}$,
$\Sigma$ is the variance covariance matrix as estimated by Miller et al. (2010), and
$\mu$ is a vector which contains the Miller et al. (2010) estimates of the parameters.
These estimates and the variance-covariance matrix are given in table B1 below:
Table B1: Estimates and variance-covariance matrix for the calibration parameters (Miller, pers. commn).

| $\mu$ | $\ln \left(F_{2}\right)$ | $\ln \left(F_{1}-F_{2}\right)$ | $\ln \left(X_{2}-X_{1}\right)$ |
| :---: | :---: | :---: | :---: |
|  | 0.4713 | 1.4163 | 3.5086 |
| $\Sigma$ | $\ln \left(F_{2}\right)$ | $\ln \left(F_{1}-F_{2}\right)$ | $\ln \left(X_{2}-X_{1}\right)$ |
| $\ln \left(F_{2}\right)$ | 0.006674 | -0.002515 | -0.002559 |
| $\ln \left(F_{1}-F_{2}\right)$ | -0.002515 | 0.051592 | -0.007601 |
| $\ln \left(X_{2}-X_{1}\right)$ | -0.002559 | -0.007601 | 0.006757 |

## B.3. Estimation of precision

Where quoted, CV's or 95\% probability interval estimates are based on the Hessian.

## B.4. Model parameters

## B.4.1. Fishing selectivity-at-age:

The commercial fishing selectivity, $S_{a}$, as well as the fishing selectivities for the Massachusetts inshore spring survey, are estimated separately for ages $a_{\text {minus }}$ to $a_{\text {plus. }}$. The estimated proportional decrease from ages $a_{\text {plus }}-1$ to $a_{\text {plus }}$ is assumed to continue multiplicatively to age $9+$ for the commercial selectivity and to age 11+ (the model plus group) for the Massachusetts spring survey (if not otherwise specified) (see Table below for $a_{\text {minus }}$ to $a_{\text {plus }}$ ). For the NEFSC offshore surveys, the fishing selectivities are estimated separately for ages $a_{\text {minus }}$ to age 7 for the spring survey, and to age 6 for the fall survey, and thereafter an exponential decline to age $9+$ is estimated separately for each survey.
The commercial selectivity is taken to differ over the 1893-1991 and 1992+ periods. The decision to incorporate a change after 1991 was made to remove non-random residual patterns in the fit to the commercial catch-at-age data if time-independence in selectivity was assumed.

## B.4.2. Other parameters

| Model plus group | 11 |  |  |
| :---: | :---: | :---: | :---: |
| $m$ |  |  |  |
| Commercial CAA |  |  |  |
| $\begin{gathered} a_{\text {minus }} \\ a_{\text {plus }} \end{gathered}$ | 1 |  |  |
|  | 9 |  |  |
| Survey CAA | NEFSC spr | NEFSC fall | MASS spr |
| $a_{\text {minus }}$ | 1 | 1 | 0 |
| $a_{\text {plus }}$ | 9 | 9 | 4 |
| Natural mortality: |  |  |  |
| M | 0.2 and age independent |  |  |
| Proportion mature-at-age: |  |  |  |
| $f_{a}$ | input, see Table A8 |  |  |
| Weight-at-age: |  |  |  |
| $w_{y, a}$ strt | input, see | able A2 |  |
| $w_{y, a}{ }^{\text {mid }}$ | input, see | able A3 |  |
| Initial conditions for a 1964 starting year: |  |  |  |
| $N_{y 0, a}$ $\phi$ | estimated estimated | directly for eqns B9-B | $\text { jes } 0 \text { to } 2$ <br> for ages 3+ |

## B.5.Reference points

It is possible to estimate reference points internally within the assessment by fitting the stockrecruitment relationship directly within the assessment itself.

For most results reported here, however, the stock-recruitment relationships are fitted to the estimates of recruitment and spawning biomass provided by the various assessments to provide a basis to estimate reference points. The rationale for estimation external to the assessment itself is to avoid assumptions about the form of the relationship influencing the assessment results. These fits are achieved by minimising the following negative log-likelihood:
$-\ln L=\sum_{y=y 1}^{2009}\left[\frac{\left(\ln \left(N_{y, 0}\right)-\ln \left(\hat{N}_{y, 0}\right)\right)^{2}}{2\left(\left(\sigma_{R}\right)^{2}+\left(C V_{y}\right)^{2}\right)}+\ln \left(\sqrt{\left(\sigma_{R}\right)^{2}+\left(C V_{y}\right)^{2}}\right)\right]$
where
$N_{y, 0} \quad$ is the "observed" (assessment estimated) recruitment in year $y$,
$\hat{N}_{y, 0} \quad$ is the stock-recruitment model predicted recruitment in year $y$,
$\sigma_{R} \quad$ is the standard deviation of the log-residuals, and
$C V_{\text {y }}$ is the Hessian-based CV for the "observed" recruitment in year $y$.

Note that the differential precision of the assessment estimates of recruitment is taken into account, and that the summation ends at 2009 because little by way of direct observation is as yet available to inform estimates of recruitment for 2010 and 2011.

